MANDATORY ANNUAL REPORT TO THE FEDERAL RESERVE BANK OF NEW YORK

FOREIGN-RESIDENTS’ HOLDINGS OF
U.S. SECURITIES,
INCLUDING SELECTED MONEY MARKET
INSTRUMENTS (SHLA)

As of the last day of June

Mandatory Report
Response Required By Law
(22 U.S.C. 3101 et seq.)

Department of the Treasury
Federal Reserve Bank of New York
Board of Governors of the Federal Reserve System
June 8, 2010
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I. INTRODUCTION

A. PURPOSE

The Department of the Treasury, with the assistance of the Board of Governors of the Federal Reserve System and the Federal Reserve Bank of New York (FRBNY), is conducting a mandatory annual survey of holdings of U.S. securities, including selected money markets instruments, by foreign residents as of June 30 of each year. The data will be collected by the FRBNY, acting as fiscal agent for the Department of the Treasury. The data collected will be used by the U.S. Government in the computation of the U.S. balance of payments accounts and the U.S. international investment position, and in the formulation of international economic and financial policies. These data also will be used to provide aggregate information to the public on these foreign portfolio investments and to meet international reporting commitments.

In order to reduce reporting burden and costs to the government, there will be a substantial reduction in the number of reporters in four out of every five years. A benchmark survey (Form SHL) of all significant U.S.-resident custodians and issuers will continue to be held approximately every five years. In non-benchmark years, the annual survey (Form SHLA) will require reports from only the very largest U.S.-resident custodians and issuers. The data reported under these annual surveys (Form SHLA) will be used in conjunction with the results of the previous benchmark survey to compute estimates for the non-benchmark years. The next full Benchmark survey will be as of June 30, 2014.

The determination of who must report on the annual reports (Form SHLA) will be based upon the data submitted during the previous benchmark survey. Designated U.S.-resident custodians and issuers will be required to file detailed security data on Schedule 2, in the same manner as they did on the June 30, 2009 benchmark survey.

B. AUTHORITY

This mandatory survey is conducted under the authority of the International Investment and Trade in Services Survey Act (22 U.S.C. 3101 et seq., [the Act] ) and Executive Order 11961 of January 19, 1977. The Act specifies that the President has the authority to conduct a regular data collection program, including such studies and reports as may be necessary and feasible, to secure current information on
international investment, including (but not limited to) such information as may be necessary for computing and analyzing the balance of payments accounts and the international investment position of the United States (22 U.S.C. 3103). In Executive Order 11961 §2, the President designated the Secretary of the Treasury to be responsible for collecting data on portfolio investment required by the Act.

C. CONFIDENTIALITY OF DATA REPORTED

The information collected by this survey may be used only for analytical and statistical purposes and to enforce the Act. Access to the information is available only to officials and employees (including consultants and contractors and their employees) designated to perform functions under the Act. Persons having access to individual company information submitted pursuant to the Act are subject to penalties for unauthorized disclosure (22 U.S.C. §3104 and 18 U.S.C. §1905). The results of this survey will be made available to the general public at an aggregated level so that neither the U.S. persons or organizations providing information nor individual or organizational ownership of U.S. securities can be identified.

D. PENALTIES FOR FAILURE TO REPORT

Reporting as directed in this package is mandatory for any U.S.-resident person or entity subject to the reporting requirements set forth in the report instructions. Failure by an entity to provide timely and accurate data can result in a civil penalty of not less than $2,500 and not more than $25,000, or injunctive relief ordering such person to comply, or both (22 U.S.C. §3105 (a) and (b)).

Willfully failing to submit any required information under the Act can result in a fine of not more than $10,000; and, if an individual, may result in imprisonment for not more than a year, or both. Any officer, director, employee or agent who knowingly participates in such violation, upon conviction, may be punished by a like fine, imprisonment, or both (22 U.S.C. §3105 (c)).

E. PAPERWORK REDUCTION ACT NOTICE

This report titled “Foreign Holdings of U.S. Securities, Including Selected Money Market Instruments” in the Federal Register Notice of (need to add date when known) has been reviewed and approved by the Office of Management and Budget in accordance with the Paperwork Reduction Act of 1995 and assigned OMB Control Number 1505-0123. The purpose is to collect accurate and complete data that will enable
the Treasury Department to fulfill its responsibility under the Act. The estimated average burden associated with this collection of information is 486 hours per report for each U.S.-resident custodian reporting detailed information on Schedule 2, and 110 hours per report for each U.S.-resident issuer of U.S. securities providing detailed information on Schedule 2. The amount of time required to complete the report will vary depending on the amount of data to report. Comments concerning the accuracy of these burden estimates and suggestions for reducing the reporting burden should be directed to:

Administrator, International Portfolio Investment Data Systems  
U.S. Department of the Treasury  
1500 Pennsylvania Avenue NW, Room 5422 MT  
Washington, DC 20220  
or  
The Office of Management and Budget  
Paperwork Reduction Project (1505-0123)  
Washington, DC 20503

No person is required to respond to any U.S. Government collection of information unless it displays a currently valid OMB control number.
II. GENERAL INSTRUCTIONS

A. WHO MUST REPORT

1. All U.S.-resident entities that been contacted by the Federal Reserve Bank of New York must report, regardless of the size of their consolidated holdings.

Reporters are generally determined by including those institutions that collectively report at least 95 percent of the market value of foreign holdings as measured by the preceding TIC SHL report.

Types of reporting entities include U.S.-resident custodians (including U.S.-resident central securities depositories) and U.S.-resident issuers. Entities that provide multiple services (i.e., provide custodial services and issue securities) should report securities that meet the reporting criteria for each role and ensure that securities are not double counted.

- **U.S.-resident custodians, including brokers and dealers,** must report all U.S. securities they hold in custody (or manage the safekeeping of) for the account of foreign residents (including their own foreign branches, subsidiaries, and affiliates). These securities must be reported by the U.S.-resident custodian even if the securities are in turn held at DTC, Euroclear, or another central securities depository, since the central securities depository knows only that it is holding securities on behalf of the U.S.-resident custodian, whereas the U.S.-resident custodian knows that it is holding these securities on behalf of a foreign resident. However, if a U.S.-resident custodian uses a U.S.-resident sub-custodian, the custodian should report if (and only if), it does not maintain separate accounts at and disclose the clients to the sub-custodian. (If the custodian does maintain separate accounts at and disclose the clients to the sub-custodian, it should not report, to prevent double counting.)

- **U.S.-resident central securities depositories,** must report all U.S. securities they hold in custody (or manage the safekeeping of) directly on behalf of foreign residents with which they have established direct relationships, including foreign-resident brokers, dealers, exchanges, and central securities depositories.

- **U.S.-resident issuers,** must report all securities issued by U.S.-resident units, which are:
  1. owned by foreign residents for which neither a U.S.-resident custodian nor a U.S. resident central securities depository is used. Transfer or paying agents should be able to provide the U.S.-resident issuer with this information;
  2. in book entry form that are held at a foreign-resident central securities depository; or
  3. bearer securities.
Please refer to Section III, What Securities Must Be Reported, to identify reportable U.S. securities.

**B. CONSOLIDATION RULES**

U.S.-resident organizations, including bank holding companies (BHC) and financial holding companies (FHC), should include all reportable securities for all U.S.-resident parts of their organization, including all U.S.-resident branches and subsidiaries. U.S. residents include entities located in the Commonwealth of Puerto Rico and the U.S. territories. Please see Appendix G for the complete definition of United States. It is the responsibility of the U.S. parent entity in each organization to ensure that its report includes all applicable entities within its organization.

Securities issued by U.S.-resident trusts and special purpose entities (SPEs) for which the reporter is the trustee or primary beneficiary should be included. Shares of U.S.-resident funds that are managed by the reporting entity should be included unless a U.S.-resident custodian other than the reporting entity is used.

U.S. branches and agencies of a foreign bank located in the same state and within the same Federal Reserve District should submit a consolidated report for these offices. U.S. branches and agencies of a foreign bank that are located in either different states or different Federal Reserve Districts, should submit separate reports.

**C. REPORTING DATES**

Report data as of the last business day of June. All data should be submitted to the Federal Reserve Bank of New York no later than the last business day of August.

**D. SUBMISSION INSTRUCTIONS AND CONTACT INFORMATION**

If your organization is submitting 200 or more Schedule 2 records, the Schedule 2 data must be submitted electronically. The format for submitting Schedule 1 data is dependent on how the Schedule 2 data are submitted.

Option 1:
- Submit both Schedules 1 and 2 data using the Federal Reserve System’s Internet Electronic Submission (IESUB) system. IESUB is fast, easy to use and secure. IESUB provides a confirmation of data receipt at the Federal Reserve Bank of New York and performs a number of validity checks of
your file format. For more information on how to submit data using the IESUB system, contact the
SHL staff at 212-720-6300 or at SHLA.Help@ny.frb.org. Alternatively, additional information and an
application to register for IESUB can be obtained at: http://www.treas.gov/tic/forms.html#benchmark.
Completed applications should be sent to:

Federal Reserve Bank of New York
Statistics Function, 4th Floor
33 Liberty Street
New York, NY 10045-0001
or faxed to 1-212-720-2598

Option 2:

- Submit Schedule 2 data on compact disk(s) CD(s) in the format specified in Appendix H. Schedule 1
  must be filed on paper and must be submitted in the same package as the Schedule 2 data.

If your organization is submitting less than 200 Schedule 2 records, these records may be submitted using
the IESUB system on CD(s) using the format specified in Appendix H or on paper, using the form
contained in Appendix A.

Reports and CDs can be mailed or sent by courier to:

Federal Reserve Bank of New York
Statistics Function, 4th Floor
33 Liberty Street
New York, NY 10045-0001

Please contact FRBNY staff with questions pertaining to the report or if any of the following conditions
apply:

1. Your entity requires additional time to complete your report.
2. Your entity received a copy of the report booklet and is not the U.S. parent entity in your organization.
3. Your entity cannot submit a single report for its entire organization.
4. Your reporter contact information or technical contact information changes after you submit your
   Schedule 1 report.
FRBNY staff can be reached at:

- Phone: 212-720-6300 or 646-720-6300
- Email: SHLA.Help@ny.frb.org

Additional copies of the reporting forms and instructions may be printed from the Internet at:

http://www.treas.gov/tic/forms.html#benchmark
III. WHAT SECURITIES MUST BE REPORTED

This report collects information on securities issued by U.S.-residents that are owned by foreign residents, including U.S. equities, U.S. short-term debt securities (including selected money market instruments), U.S. long-term debt securities, and U.S. asset-backed debt securities.

Reportable securities may be traded or issued in the United States or in foreign countries, and may be denominated in any currency. Neither the country in which the securities are traded or issued, nor the currency in which the securities are denominated, is relevant in determining whether the securities are reportable. Securities held as part of a direct investment relationship should not be reported. (See Section III.I.)

A. FOREIGN-RESIDENT OWNERSHIP

Securities are considered to be foreign owned if, according to the reporter's records, the holder of record is not a resident of the United States. In addition, all outstanding bearer bonds should be assumed to be owned by foreign residents and reported by the U.S.-resident issuer. Bearer bonds should also be reported by U.S.-resident custodians, but only if the reporter’s records indicate a foreign-resident owner (or foreign-resident custodian).

The following are considered to be foreign residents:

- Residents of foreign countries, including foreign-resident custodians and foreign-resident central securities depositories. (These include residents of Canada, Mexico, and offshore centers.)
- International organizations with extra-territorial status (even if located in the United States), such as the International Bank for Reconstruction and Development (World Bank), the International Monetary Fund (IMF) and the Inter-American Development Bank. ¹ (See Appendix D for a complete list of these organizations.)
- Foreign subsidiaries of U.S. entities and foreign-resident branches of U.S. banks.
- Offshore and other non-U.S. funds.
- Entities or individuals that file an IRS Form W-8, indicating that they are foreign residents. Please note that there may be exceptions (such as Puerto Rico). However, if an IRS Form is not available, the mailing address can be used to determine residency.

¹ Pension plans of international and regional organizations, if located in the United States, are U.S.-resident entities; therefore, any securities owned by these pension plans should be excluded from this report.
B. REPORTABLE U.S. SECURITIES

U.S. securities are securities issued by U.S.-resident entities, with the exception of Depositary Receipts (DRs) that are backed by foreign securities and securities issued by international organizations that have extra-territorial status, such as the World Bank and the Inter-American Development Bank. U.S. securities can be issued in any country, denominated in any currency, and traded on any exchange. U.S.-resident entities include U.S.-resident branches of foreign banks and U.S.-resident subsidiaries of foreign companies. Securities issued by foreign branches or subsidiaries of U.S. entities are not U.S. securities unless, due to merger, reorganization, or default, a U.S.-resident entity assumes direct responsibility for the payment of a previously foreign security.

Reportable securities include:

Equity Securities

- Common stock
- Preferred stock (participating and nonparticipating preference shares)
- Restricted stock
- U.S.-resident fund shares (open-end and closed-end), REITS, money market mutual funds, index-linked equity securities including unit investment trusts (including SPDRs, DIAMONDs, etc.)
- All other equity, including shares or units of ownership in unincorporated business enterprises, such as limited partnerships

Debt Securities

- Debt, registered and bearer, including bonds and notes (unstripped and stripped) and bonds with multiple call options
- Convertible debt and debt with attached warrants
- Zero-coupon debt and discount notes
- Index-linked debt securities (e.g., property index certificates)
- Commercial paper (including asset-backed commercial paper)
- Bankers’ acceptances and trade acceptances
- Negotiable certificates of deposit, bank notes, and deposit notes
- Notes, including those issued under note issuance facilities and revolving underwriting facilities,
medium-term notes, promissory notes, deep-discounted, currency-linked (e.g., dual-currency),
floating rate notes (FRN), such as perpetual notes (PRN), variable rate notes (VRN), structured FRN,
reverse FRN, collared FRN, step up recovery FR (SURF), and range/corridor/accrual notes
• Asset-backed securities (see Section III.D)
• All other long-term and short-term debt securities
  (Note: U.S. debt securities that are still outstanding as of June 30 should be reported even if
the maturity date has passed.)

C. DO NOT REPORT

Do not report any:

• Derivative contracts (including futures, forwards, swaps, options and warrants) meeting the definition
  of a derivative under FAS 133. Embedded derivatives that are not bifurcated under FAS 133 should be
  included in the value of the host contract. However, if an embedded derivative is bifurcated the
derivative should be excluded from the report.
• Securities which have been temporarily received as collateral under resale agreements or similar
  financing agreements (see Section III.F)
• Loans and loan participation certificates
• Letters of credit
• Non-negotiable certificates of deposit
• Bank deposits, including time (except for negotiable CDs) and demand deposits
• Annuities, including variable rate annuities
• Foreign securities, including :
  1. Depositary receipts (DRs) backed by foreign securities, including ADRs (American Depositary
     Receipts), ADSs (American Depositary Shares), GDRs (Global Depositary Receipts), and IDR s
     (International Depositary Receipts).
  2. Securities issued by international and regional organizations with extra-territorial status, such as
     the International Bank for Reconstruction and Development (IBRD or World Bank) or the Inter-
     American Development Bank (IDB). (See Appendix D for a list of these organizations.)
  3. Securities issued in the United States by foreign-resident entities (e.g., Canadian or Mexican
     entities), even if they are denominated in U.S. dollars and traded on U.S. securities exchanges.
  4. Securities issued by foreign subsidiaries of U.S. entities (e.g., GMAC Canada) or foreign branches
     of U.S. banks, even if they are guaranteed by the U.S. parent company, or issued in the United
5. Securities issued by foreign-resident entities under Section 144A of the SEC Act.
6. Securities issued by corporations that were incorporated outside of the United States by the report as of date, including companies incorporated offshore in countries such as the Cayman Islands and Bermuda.

D. ASSET-BACKED SECURITIES

Asset-backed securities (ABS) are securitized interests in a pool of assets, which give the purchaser a claim against the cash flows generated by the underlying assets. Securities backed by revolving credits, such as pools of credit card receivables, are reported as ABS if the return to the owner is based upon these credits, (i.e., the credits do not merely serve as collateral). Foreign-owned ABS should be reported if the issuer securitizing the assets is a U.S. resident. The underlying asset should not be used to determine if the ABS are reportable.

Include as ABS all collateralized mortgage obligations (CMOs), collateralized bond obligations (CBOs), collateralized loan obligations (CLOs), collateralized debt obligations (CDOs), and other securities backed by pools of:

- mortgages;
- credit card receivables;
- automobile loans;
- consumer and personal loans;
- commercial and industrial loans and other whole loans; and
- other assets.

E. FUNDS AND RELATED EQUITY OWNERSHIP

1. Report foreign-residents’ ownership of shares/units of funds and investment trusts legally established in the United States (U.S.-resident funds) as equity securities. All foreign-residents’ ownership of U.S.-resident fund shares should be assigned security type = 3 (fund shares), and not categorized as a debt security, regardless of the types of securities held by the fund.

For purposes of this report, funds include all investment vehicles that pool investors’ money and invest
the pooled money in one or more of a variety of assets. Funds include, but are not limited to:

- mutual funds (including both open-end and closed-end mutual funds);
- money market funds;
- investment trusts;
- index-linked funds;
- exchange-traded funds (ETFs);
- hedge funds; and
- common trust funds.

The determination of whether a fund’s shares are a U.S. security is based on the country in which the fund is legally established, not based on the residence of the issuers of the securities the fund purchases. For example, if a foreign resident owns shares in a fund organized in New York, these shares are reportable U.S. securities, whether or not the fund purchases foreign securities. Conversely, foreign-resident ownership of shares of “offshore” or other foreign-resident funds that purchase U.S. securities should not be reported.

Many funds established outside of the United States have names that are similar to U.S.-resident fund names. This often occurs when the fund is managed by a group that also manages many U.S.-resident funds and therefore may be thought of as managing only U.S.-resident funds. If there is doubt as to whether the investment should be classified as a fund or whether a fund is U.S. or foreign, please contact FRBNY staff at 212-720-6300 or 646-720-6300.

2. Report the ownership of U.S. securities by foreign-resident funds.

Although ownership of shares of foreign-resident funds are excluded from this report, any U.S. securities owned by foreign-resident funds for their portfolio are reportable. These are distinct investments and, therefore, are not duplicate reporting. U.S. securities owned by foreign-resident funds should be classified as either equity, debt, or asset-backed securities, as indicated in Section III.B.

3. **Reporting guidelines for Hedge Funds and other alternative investments**

   Investment advisors, managers or similar types of legal entities that create master and feeder funds both outside and inside the U.S. should report as follows:

   1. Investments between the U.S. and foreigner entities (affiliates) that the investment manager establishes, is portfolio investment and should be reported on this report.
**Example 1**

A U.S. investment manager creates a U.S. Master Fund, a Cayman Feeder Fund, and a U.S. Feeder Fund. The investments between the manager and the foreign feeder funds are direct investments. However, the investment that the foreign feeder fund has in the master fund is portfolio investment and should be reported in the TIC system. Purchase and sales of the master fund shares by the foreign feeder fund should be reported on the TIC S by the U.S. based master fund and the master fund should report the ownership of their “shares” by the foreign feeder funds as issuer of the “domestic security” on the TIC SHL (A) report. If a U.S. custodian is involved in the holding the “domestic security” then the reporting responsibility would be on the U.S. custodian to report on the TIC SHL (A).

**Example 2**

A foreign hedge fund creates a U.S. based Master Fund, a Cayman Feeder Fund, and a U.S. Feeder Fund. The investments between the hedge fund and the U.S. feeder funds are direct investments. However, the investment that the foreign feeder fund has in the master fund is portfolio investment and should be reported in the TIC system. Purchase and sales of the master fund shares by the foreign feeder fund should be reported on the TIC S by the U.S. based master fund and the master fund should also report the ownership of their “shares” by the foreign feeder funds as issuer of the “domestic security” on the TIC SHL (A) report. If a U.S. custodian is involved in the holding the “domestic security” then the reporting responsibility would be on the U.S. custodian to report on the TIC SHL (A).

2. Exclude any investment between the entity that formed the funds (as a general partner) and all the entities it creates. These are direct investment and should be reported to the Bureau of Economic Analysis

Note: The descriptions of the various examples are based on common master/feeder fund structures. It is possible for there to be different types of structures when creating these funds and they may be called different names by some entities. Ultimately, if the investment is not Direct Investment then it will fall into the category of portfolio investment and needs to be reported as part of the TIC system.
F. SECURITIES INVOLVED IN REPURCHASE AND SECURITIES LENDING ARRANGEMENTS

A repurchase agreement (repo) is an arrangement involving the sale of securities at a specified price with a commitment to repurchase the same or similar securities at a specified price on a future date. A reverse repo is an agreement whereby a security is purchased at a specified price with a commitment to resell the same or similar securities at a specified price on a specified future date. Securities lending/borrowing arrangements are agreements whereby the ownership of a security is transferred in return for collateral, usually another security or cash, under condition that the security or similar security will revert to its original owner at a future date.

Securities "sold" by foreign residents under repurchase agreements or buy/sell back agreements, lent under securities lending arrangements, or delivered out as collateral as part of a reverse repurchase agreement or security borrowing agreement should be reported as if the securities were continuously held by the foreign resident. That is, the security lender’s U.S. custodian should report the U.S. security as if no repurchase agreement or buy/sell back agreement occurred.

Securities temporarily acquired by foreign residents as collateral under reverse repurchase agreements, securities lending or borrowing arrangements, or buy/sell back agreements should NOT be reported. That is, the security borrower’s U.S. custodian should exclude the U.S. security as if no resale agreement or buy/sell back agreement occurred.

However, if cash was temporarily received as collateral and was used to purchase securities, those securities should be reported.

G. STRIPPED SECURITIES

Separate Trading of Registered Interest and Principal Securities (STRIPS) and other similar instruments are securities that have been transformed from a principal amount with periodic interest coupons into a series of zero-coupon securities, with the range of maturities matching the coupon payment dates and the redemption date of the principal amount.

The residency of the STRIPS and similar instruments is the residence of the entity that issued the stripped security. However, the type of issuer of STRIPS and similar instruments is based upon the type of issuer of the underlying security. For example, U.S. Treasury STRIPS should be classified as U.S. Treasury securities even though the Treasury does not issue or sell STRIPS directly to investors. In addition, all
CATS, TIGRS, COUGARS, and LIONS should also be classified as U.S. Treasury securities.

Foreign holdings of stripped securities where the underlying security is not an ABS, both the interest-only (IO) component and the principal-only (PO) component, should be reported as security type = 10 (bond or note, stripped).

Foreign holdings of stripped securities where the underlying security is an ABS, tranches of stripped ABS, any IO component, and any PO component, should be reported as security type = 12 (asset-backed security).

H. U.S. GOVERNMENT AGENCIES OR FEDERALLY SPONSORED ENTERPRISES

Securities that are issued by U.S. government agencies or federally sponsored enterprises and owned by foreign residents are reportable. In addition, foreign owned securities that are issued by a U.S.-resident entity and guaranteed by U.S. government agencies or federally sponsored enterprises are reportable. For purposes of Schedule 2, item 9 (type of issuer), all these securities should be coded as type 2 (agencies). For example, securities that are guaranteed by the Government National Mortgage Association (GNMA) or the Small Business Administration (SBA) should be reported as U.S. government agency securities.

I. DIRECT INVESTMENT

A direct investment relationship exists when a U.S. company owns 10% or more of the voting equity securities of an incorporated foreign business (or an equivalent interest in an unincorporated foreign business, including a branch), or when a foreign company owns 10% or more of the voting equity securities of an incorporated U.S. business (or an equivalent interest in an unincorporated U.S. business, including a branch). Limited partners in a limited partnership do not have voting rights and therefore cannot have direct investment. (See Section III.K) If a direct investment relationship exists, then in general, all financial positions between the firms are considered direct investment. If a direct investment relationship exists between non-banking entities, all securities held by the parent entity that were issued by the affiliate of the parent are considered direct investment. However, if one of the entities is a banking or securities brokerage firm, the inter-company holdings are considered direct investment only if they provide permanent capital. All holdings known to be direct investment should be excluded from this report.
J. SECURITIES HELD BY U.S. CENTRAL SECURITIES DEPOSITORIES

U.S.-resident central securities depositories must report all U.S. securities they are holding in custody (or managing the safekeeping of) **directly** on behalf of foreign residents, such as foreign-resident brokers and dealers who may be members of the depository, or foreign-resident securities exchanges or depositories that have established direct relationships with the U.S.-resident central securities depository.

U.S.-resident custodians should report all U.S. securities that are shown on their records as being owned by foreign residents (or held in custody by its foreign-resident custodian) even if the safekeeping or settlement of the security is done at a U.S.-resident central securities depository.

K. LIMITED PARTNERSHIPS

Foreign-resident limited partner ownership interests in U.S.-resident limited partnerships should be reported as security type = 4 (other equity). Limited partners’ ownership interests do not carry voting rights; therefore, all ownership interests, even those greater than 10%, are reportable. The Number of Shares field on Schedule 2 (item 18) should be defaulted to the value reported in the US$ Market Value field (item 16) because limited partnerships do not issue shares.

General partner ownership interests are always considered to be direct investment and all such interests should be excluded from this report.

L. ACCOUNTING RULES, VALUATION OF SECURITIES, AND CALCULATION OF U.S. DOLLAR VALUES

**Accounting Rules**

All securities should be reported using settlement date accounting. Gross long positions should be reported. Do not net any short positions from long positions. Do not enter decimals or negative values in any cells.

**Valuation of Securities**

Report the fair value of securities as of close of business on the last business day of June. The fair value
follows the definition of FAS 157.
U.S.-resident custodians should, at a minimum, report the fair value to the extent that it is available as part of the services provided to their customers, even if the price available is for a date prior to the last business day of June. If there is doubt as to whether to report the available fair value or to report a fair value of zero, please contact FRBNY report staff at 212-720-6300 or 646-720-6300.

For ABS, the value of the unpaid principal amount outstanding at close of business on the last business day of June should be reported; if principal has been repaid, this value will not be the same as the original face value, revalued at end-period market prices.

**Foreign Currency Denominated Securities - CALCULATION OF U.S. DOLLAR VALUES**

If the security is not denominated in U.S. dollars (US$) and a U.S. dollar fair (market) value is not available in your system, convert the foreign currency denominated fair (market) value into US$ using the spot exchange rate as of the close of business on the last business day of June. If you need assistance locating an exchange rate, please contact FRBNY staff at 212-720-6300 or 646-720-6300.

If the exchange rate is normally quoted in units of foreign currency per US$, such as ¥105.75/US$, divide foreign currency values by the foreign exchange rate to obtain the US$ values. For example, if the value of a U.S. security issued in Japan is ¥ 200,000, and the exchange rate is ¥105.75/US$, divide ¥ 200,000 by 105.75 to obtain US$ 1,891.

If the exchange rate is normally quoted in US$ per units of the foreign currency, such as US$1.75/UK£, multiply foreign currency values by the US$ exchange rate value of the foreign currency to obtain the US$ values. For example, if the value of a U.S. security issued in the United Kingdom is UK £1,000 and the exchange rate is US$1.75/UK£, multiply UK £1,000 by 1.75 to obtain US$ 1,750.

**M. DATA RETENTION PERIOD**

All data used to create this report must be retained by the reporter for a period of 36 months from the date of the report's submission.
N. REVIEW OF DATA AND REQUEST FOR REVISED DATA

Data submitted on the reporting schedules are reviewed by FRBNY staff. As a result of this review, the reporter may be asked by FRBNY staff to provide supplemental information, including reasons for significant data changes between reporting periods, or corrected data. In addition, FRBNY staff may request: (1) information to ensure that all reportable U.S. securities have been reported; (2) information to ensure that all reported U.S. securities are owned by foreign residents (or held by foreign-resident custodians or foreign-resident central securities depositories); or (3) other information that helps to explain or corroborate the submitted data.

Reporters filing data on electronic media that are not formatted per the specifications in Appendix H will be required to resubmit the data in the proper format.

Each quarter, FRBNY staff obtains a list of debt securities issued abroad by U.S. companies. Experience has shown that most such issues are largely or completely foreign held. FRBNY staff will compare this information with the reports submitted by U.S.-resident issuers of foreign placed debt securities to ensure that foreign-issued securities are being properly reported. FRBNY will ask the issuers of such securities for additional information in cases of apparent under- or over-reporting.
A Schedule 1 must be completed by all entities that receive this report booklet. This schedule is used to provide basic identifying information and summaries of data reported on Schedule 2 records. Please type or print all information.

(Please enter your ten-digit Reporter Identification Number at the top of each page of Schedule 1.)

REPORTER CONTACT IDENTIFICATION AND SUMMARY OF FINANCIAL INFORMATION

1. **Reporter Identification Number** - Enter the ten-digit Reporter Identification Number that is printed on the top of the mailing label on your institution's report booklet. If you do not know the Reporter Identification Number for your entity, please contact FRBNY staff at 212-720-6300 or 646-720-6300.

2. **Organization Name and Address** - Include the full legal name and mailing address of the reporting entity.

3. **Reporting Status** - Select “1” only if your parent organization has received authorization to file an unconsolidated report but you are exempt (meaning you have no reportable securities in custody or have not issued any reportable securities). All other entities should select "2" and file the appropriate Schedule 2 records.

4. **Reporter Type** - Enter one of the following that best describes your organization:

   - 1 = Bank
   - 2 = Mutual fund or investment trust
   - 3 = Insurance company
   - 4 = Other financial organization
   - 5 = Non-financial organization
   - 6 = Foundation, trust, estate
   - 7 = Institution of higher learning (e.g., university)
   - 8 = Other (not listed above)

A Bank is any depository institution (i.e., an institution that takes deposits), a bank holding company, or a financial holding company organized under Regulation Y of the Federal Reserve Act.

An Other Financial Organization is any other entity that acts as a financial intermediary or financial service organization, such as a finance company or a broker/dealer, which is operated separately from an entity in one of the other categories listed above.

A Non-Financial Organization is an entity that conducts commercial, industrial, or trade activities.
5. **Name of Contact** - Enter the name of the person who will be the primary contact for this report and can answer questions about the data reported.

6-9. Enter the job title, telephone number, fax number, and email address of the primary contact identified in item 5.

10. **Name of Service Provider or Vendor Used** - If a service provider or vendor was used to prepare the data reported, enter the name of the service provider.

11. **Name of Technical Contact Person** - Enter the name of a person who will serve as a contact should any technical issues, such as incorrect file formats, arise.

12-14. Enter the job title, telephone number, and email address of the technical contact person identified in item 11.

15. **Valuation Technique** - For each reporting unit, describe the valuation technique(s) used to calculate the reported market values. If securities are automatically valued at zero after a specified time period of inactivity, please specify the time period and whether this applies to the security or to the client’s holdings of the security. Also, please specify how securities not actively traded on the report date and those with internally generated security identification numbers are valued. If your organization has more than four reporting units, please attach additional copies of Schedule 1, page 2, with item 15 completed.

**SUMMARY OF SCHEDULE 2 INFORMATION**

This section contains summary data for the information reported on Schedule 2 records. If your entity submits Schedule 2 data on multiple media, the summary Schedule 2 data should represent aggregate data across all media for the same reporter identification number.

16. **Total Number of Schedule 2 records Submitted** - Enter the total number of Schedule 2 records submitted.

17. **Total U.S. $ Fair (Market) Value of All Equity Securities reported on Schedule 2 records** - Enter the sum of the U.S.$ fair (market) value of all equity security records (records with Schedule 2, Item 10 = 1, 2, 3, or 4), rounded to the nearest U.S. dollar.

18. **Total U.S. $ Fair (Market) Value of All Short-Term Debt Securities (excluding asset-backed securities) reported on Schedule 2 records** - Enter the sum of the U.S. $ fair (market) value of all such security records (records with Schedule 2, Item 10 = 5, 6, 7, 8, 9, 10, or 11 and Schedule 2, Item 12 = 1), rounded to the nearest U.S. dollar.
19. **Total U.S. $ Fair (Market) Value of All Long-Term Debt Securities (excluding asset-backed securities) reported on Schedule 2 records** - Enter the sum of the U.S. $ fair (market) value of all such security records (records with Schedule 2, Item 10 = 5, 6, 7, 8, 9, 10, or 11 and Schedule 2, Item 12 = 2), rounded to the nearest U.S. dollar.

20. **Total U.S. $ Fair (Market) Value of All Asset-Backed Securities reported on Schedule 2 records** - Enter the sum of the U.S. $ fair (market) value of all such security records (records with Schedule 2, Item 10 = 12), rounded to the nearest U.S. dollar.

**CERTIFICATION INFORMATION**

*This section must be completed by all reporters.*

By signing and dating the certification, the Certifier acknowledges that:

- He/she has read and understood the reporting requirements of this report;
- He/she is aware that both civil and criminal penalties may be imposed for filing a false report; and
- He/she is sufficiently knowledgeable about the activities and functions of this entity that he/she can knowingly and with reasonable confidence certify that the information provided in this report is both accurate and complete.

21-24. Enter the name, job title, telephone number, and email address of the person in your organization who certifies that the information provided is complete and accurate. Also sign and date the form.
V. LINE-BY-LINE INSTRUCTIONS FOR SCHEDULE 2 - DETAILS OF SECURITIES

(Please enter your ten-digit Reporter Identification Number at the top of each page of Schedule 2.)

1. **Reporter Identification Number** - Enter the ten-digit Reporter Identification Number that is printed on the mailing label on the report booklet. If you do not know the Reporter Identification Number for your entity, please contact FRBNY staff at 212-720-6300 or 646-720-63002. **Sequence Number** - Enter the sequence number of this record, right justified. The first record should be assigned sequence number 1 and each subsequent Schedule 2 record should be sequentially numbered.

3. **Reporting Unit Code** - If data being submitted are collected from multiple databases or reporting systems, please report an internal code that will enable you to identify the database or system from which the information on this Schedule 2 came. This information will greatly reduce the costs of identifying and fixing any reporting errors that may occur.

3a. **Name of Reporting Unit** - Enter a description or name of the reporting unit or area that corresponds to the code reported in item 3.

4. **Custodian/Issuer Code** - Enter "1" if your entity did not issue this security. Enter "2" for issuer if your entity issued this security (even if you are also acting as the custodian).

5. **Security ID** - Enter the security ID code used to identify the security reported on this Schedule 2. CUSIP codes are strongly preferred. If the CUSIP is not available, please try to provide the ISIN, CINS, or Common code. Do not use internally generated codes unless this security has not been assigned a code by any recognized numbering agency (e.g., limited partnerships). Records for securities for which a portion is restricted and a portion is not restricted should be reported with the numbering agency code assigned to the unrestricted portion. If excessive internal codes are used, we may have to contact your institution to help identify characteristics of certain individual securities. Please include the security ID’s check digit and exclude any internal coding characters added to the CUSIP or other numbering agency code.

6. **Security ID System** - Select the appropriate number from the list shown on the form. For example, if the security ID number entered in Item 5 is a CUSIP number, enter “1”; if it is a CINS, enter “3.” If the security ID system used is not listed, enter a code of "9" (Other) and provide the name of the organization generating the code in item 6a.

6a. **Comment Line** - If you entered a code of "9" (Other) in item 6 you must provide the name of the organization generating the security ID code.

7. **Security Description** - Briefly describe the security, providing any relevant descriptive information available. Ideally, this would include the type of security, the maturity date if debt or ABS, and: (a)
for preferred stock the annual dividend; (b) for debt securities, the interest rate; (c) for floating rate notes, how the interest rate is calculated (e.g., Libor plus 1.5); and (d) for stripped securities the type of security/tranche. This information is particularly important for securities with an internally generated or other security ID not generated by a recognized numbering agency, (i.e., security ID system codes = 8 or 9).

8. **Name of Issuer** - Enter the name of the entity that issued the security. Please try to provide the full legal name of the issuer. For branches of banks, please provide the location of the branch.

9. **Type of Issuer** - Enter “1” if the security was issued by the United States government. Enter “2” if the security was issued or guaranteed by a federal agency (e.g., Government National Mortgage Association (GNMA)) or issued by or guaranteed by a federally sponsored enterprise (e.g., Federal National Mortgage Association (FNMA)). See Appendix I for a list of these entities. Enter "3" if the security was issued by a state or local government (e.g., New York State bonds). Enter "4" otherwise.

10. **Security Type** - Enter the security type code from the list below that most closely describes the type of security being reported. See the glossary for definitions of these security types.

<table>
<thead>
<tr>
<th>Equity</th>
<th>Debt (excluding asset-backed securities)</th>
<th>Asset-backed securities</th>
</tr>
</thead>
<tbody>
<tr>
<td>3. Fund shares</td>
<td>7. Convertible debt security</td>
<td>11. All other debt</td>
</tr>
<tr>
<td>4. All other equity</td>
<td>8. Zero-coupon bond or note</td>
<td>12. Asset-backed security</td>
</tr>
</tbody>
</table>

Type 1 should be used to report all common stock, including restricted common shares.

Type 2 should be used to report all preferred stock, including participating preference shares, nonparticipating preference shares, convertible preferred stock, and restricted preferred shares.

Type 3 should be used to report all shares of funds, including funds that invest primarily or exclusively in money market instruments and in long-term debt, exchange traded funds, open-end and closed-end mutual funds, and unit investment trusts. (See Section III.E)

Type 4 should be used to report all other types of equity, including foreign-resident limited partner ownership of U.S.-resident limited partnerships and any other form of equity not specified in security types 1, 2, and 3.

Type 5 should be used to report all commercial paper, including asset-backed commercial paper.
Type 6 should be used to report long-term and short-term negotiable certificates of deposits, including negotiable bank notes and negotiable deposit notes issued by U.S. institutions, including U.S. branches of U.S. or foreign banks. Non-negotiable certificates of deposit are not reportable.

Type 7 should be used to report all convertible debt securities, including convertible bonds and zero-coupon convertible debt. However, non-convertible debt securities with embedded warrants or options should be reported as either type 8 (if a zero-coupon bond or note) or type 9.

Type 8 should be used to report bonds and notes that do not provide interest payments, such as discount notes. However: (1) zero-coupon convertible debt should be reported as type 7; (2) stripped non-asset-backed securities, both the interest-only (IO) and principal-only (PO) components, should be reported as type 10; (3) U.S. Treasury bills should be reported as type 11; and (4) stripped asset-backed securities, the tranches, and the IO and PO components, should be reported as type 12.

Type 9 should be used to report bonds or notes that: (1) cannot be converted to equity securities, (2) provide interest payments, (3) have not been stripped (i.e., not an IO or PO), and (4) do not give the owner a claim against the cash flows generated by the underlying assets (i.e., ABS). These bonds and notes are commonly referred to as “straight debt.”

Type 10 should be used to report all components of stripped securities, including the IO and PO components. However, all tranches of stripped asset-backed securities should be reported as type 12.

Type 11 should include all debt other than asset-backed securities that is not covered in types 5-10, including U.S. Treasury bills.

Type 12 should include only short-term and long-term securities that represent securitized interests in a pool of assets and give the investor a claim against the cash flows generated by the underlying assets. All tranches of stripped asset-backed securities, as well as unstripped asset-backed securities, should be reported as type 12. Debt which is collateralized by assets (real or financial), but only give the investor a claim against the underlying interest if the issuer defaults, should be reported as types 5-11, as appropriate.

11. Intentionally Left Blank

12. **Term Indicator (debt, including ABS, only; based on original maturity)** - Enter "1" (short-term) if the period from the issue date to the maturity date is one year or less. Enter "2" if the period from the security issue date to the maturity date is more than one year. If there are multiple issue
dates or redemption dates, enter "2" (long-term) if the period between the initial issue date and the longest redemption date is more than one year. Perpetual debt should also be classified as long-term.

13. **Currency of Denomination** - Enter the ISO code from Appendix F that corresponds to the currency in which the security is denominated.

14. **Country of Foreign Holder** - Enter the country code from Appendix C that corresponds to the country of residence of the owner of the security. If the country of residence of the owner is not known, report the country of residence of the foreign-resident custodian or foreign-resident central securities depository. Positions of international and multinational regional organizations, whether located in the United States or elsewhere, should be reported opposite the classification “International,” if worldwide, or opposite the classifications “European,” “Latin American,” “Caribbean,” “Asian,” “African,” or “Middle Eastern” regional organizations as indicated in Appendix D. If no country information is available (as will often be the case with bearer bonds), enter code 88862. If an excessive number of "country unknowns" is reported for securities other than bearer bonds, we will contact your institution for further information.

15. **Type of Foreign Holder**

   Enter "1" if the owner is an official institution (see Appendix D or E) **even** if the account is held in the name of a foreign custodian or other foreign nominee.

   Enter "2" if the account is in the name of one or more individuals (natural persons).

   Enter “3” for all other owners, including personal trusts and other investment vehicles even if the beneficial owner is an individual.

16. **U.S. $ Fair (Market) Value of Foreign Owned U.S. Security** - Enter the U.S. $ fair (market) value of foreign-residents' ownership of this security, rounded to the nearest U.S. dollar. (See Section III.L, Valuation of Securities, for more information on how to calculate the fair (market) value.)

16a. **Fair (Market) Value in Currency of Denomination of Foreign Owned U.S. Security** - Enter the fair (market) value of foreign-residents' ownership of this security, rounded to the nearest currency unit. For ABS, the fair (market) value should be calculated based on the remaining principal outstanding (item 23) rather than the original face value. If the currency of issue is US$, report the same value as in item 16. (See Section III.L, Valuation of Securities, for more information on how to calculate the fair (market) value.)

17. **Intentionally Left Blank**
FOR EQUITY TYPE SECURITIES ONLY

18. **Number of Shares** - Enter the number of shares owned by foreigners, including fractional shares, rounded to the nearest share. Wherever possible, combine partial shares with other ownership shares of the same security to reduce reporting disparities introduced by rounding partial shares. Securities for which the amount foreign owned is zero should not be reported.

FOR SHORT-TERM AND LONG-TERM DEBT SECURITIES EXCLUDING ASSET-BACKED SECURITIES

19. **Face Value in Currency of Denomination** - For both short-term and long-term securities, enter the face value owned by foreigners in the currency of denomination, rounded to the nearest whole currency unit. If a security is traded in units, then calculate the face value as: (face value of each unit) * (the number of units) and report the result in this field. Securities for which the amount foreign owned is zero should not be reported.

20. **Issue Date** - Enter the issue date of this security in MMDDYYYY format. For example, if the security was issued on October 4, 1985, enter 10041985. If there are multiple issue dates, enter the first such issue date.

21. **Maturity Date** - Enter the final maturity date (when all remaining principal and interest are due) of this security in MMDDYYYY format. For example, if the security's final maturity date is January 5, 2010, enter 01052010. For perpetual debt, report a maturity date of 12319999.

FOR SHORT-TERM AND LONG-TERM ASSET-BACKED SECURITIES ONLY

22. **Original Face Value in Currency of Denomination** - Enter the original face value owned by foreigners in the currency of denomination, rounded to the nearest whole currency unit. This is the value that is (would have been) outstanding if no principal has been (had been) repaid. If a security is traded in units, then calculate the original face value as: (original face value of each unit) * (the number of units) and report the result in this field.

23. **Remaining Principal Outstanding in Currency of Denomination** - Enter the remaining principal outstanding owned by foreigners as of last business day of June in the currency of denomination, rounded to the nearest whole currency unit. This will equal the original face value if no principal has been repaid.
The remaining principal outstanding should be calculated by multiplying the original face value (item 22) by the factor value as of June 30, 2004. If a security is traded in units, then calculate the remaining principal outstanding as: (original face value of each unit) * (the number of units) * (factor value). If the factor value is not available as of the last business day of June, use the closest factor value prior to the last business day of June. Securities for which the amount foreign owned is zero should not be reported.

24. Issue Date - Enter the issue date of this security in MMDDYYYY format. For example, if the security was issued on October 4, 1985, enter 10041985. If there are multiple issue dates, enter the first such issue date.

25. Maturity Date - Enter the final maturity date (when all remaining principal and interest are due) of this security in MMDDYYYY format. For example, if the security's final maturity date is January 5, 2010, enter 01052010.
APPENDIX A
Annual Report of
Foreign Holdings of U.S. Securities,
Including Selected Money Market Instruments (SHLA)

SCHEDULE 1: REPORTER CONTACT IDENTIFICATION AND
SUMMARY OF FINANCIAL INFORMATION

1. Reporter Identification Number: Enter your 10-digit reporter identification number….[Please type or print.]

2. Company Name:___________________________________________________________

   Street Address: ____________________________________________________________

   City: ___________________________ State: __________ Zip Code: __________

3. Reporting Status: Please enter one of the following……………………………………………………………………...
   1 = Exempt - Schedule 2 being submitted by another entity within this organization
   2 = Not Exempt - Schedule 2 records being submitted

4. Reporter Type: Please enter one of the following that best describes your firm………………………………………………….
   1 = Bank
   2 = Mutual fund or investment trust
   3 = Insurance company
   4 = Other financial organization
   5 = Non-financial organization
   6 = Foundation, trust, estate
   7 = Institution of higher learning (e.g., university)
   8 = Other (not listed above)

   Please provide a contact in your organization that can respond to inquiries on questions raised on the data reported.
   If you would like to provide more than one contact name, please attach additional copies of page 1, Schedule 1, with
   items 5 through 9 completed. [Please type or print.]

5. Name: __________________________________________ Title: __________________________

6. Telephone Number: __________________________ Fax Number: __________________________

7. Email Address: ______________________________________________________________

10. Name of Service Provider or Vendor Used (if applicable): __________________________

   Please provide a technical contact at your organization who can respond to inquiries on file formats or electronic transmission
   issues. [Please type or print.]

11. Name: __________________________ Title: __________________________

12. Telephone Number: __________________________ Email Address: __________________________
15. Valuation Technique(s) Used to Determine Market Values

For each reporting unit, please describe the valuation technique(s) used to determine reported market values. If securities are automatically valued at zero after a specified time period of inactivity, please specify the time period and whether this applies to the security or to the client’s holdings of the security. Also, please specify how securities not actively traded on the report date and those with internally generated security identification numbers are valued.

<table>
<thead>
<tr>
<th>Reporting Unit</th>
<th>Valuation Description</th>
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<td></td>
</tr>
</tbody>
</table>

Schedule 1, Page 2 of 3
Reporter Identification Number: Enter your 10-digit reporter identification number.

SUMMARY OF SCHEDULE 2 INFORMATION

16. Total number of Schedule 2 Records submitted

17. Total US$ Fair (Market) Value of All Equity Securities Reported on Schedule 2 Records

18. Total US$ Fair (Market) Value of All Short-Term Debt Securities (Excluding Asset-Backed Securities) Reported on Schedule 2 Records

19. Total US$ Fair (Market) Value of All Long-Term Debt Securities (Excluding Asset-Backed Securities) Reported on Schedule 2 Records

20. Total US$ Fair (Market) Value of All Asset-Backed Securities Reported on Schedule 2 Records

CERTIFICATION INFORMATION

By signing the certification below you acknowledge that:

- You have read and understood the reporting requirements of this report;
- You are aware that both civil and criminal penalties may be imposed for filing a false report; and
- You are sufficiently knowledgeable about the activities and functions of your organization that you can knowingly and with reasonable confidence certify that the information provided in this report is both accurate and complete.

Certifier's Signature: ___________________________ Date Signed: ______________________

(Please type or print.)

21. Certifier's Name:

22. Certifier's Title:

23. Certifier's Telephone Number:

24. Certifier's Email Address:
<table>
<thead>
<tr>
<th>No.</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Reporter Identification Number: Enter your 10-digit reporter identification number…</td>
</tr>
<tr>
<td>2</td>
<td>Sequence Number: Start with 1 and sequentially number each Schedule 2 record submitted…</td>
</tr>
<tr>
<td>3</td>
<td>Reporting Unit Code…</td>
</tr>
<tr>
<td>3a</td>
<td>Name of Reporting Unit: ______________________________________________________________________</td>
</tr>
<tr>
<td>4</td>
<td>Issuer Code: …………………………………………………………………………………………………</td>
</tr>
<tr>
<td>4a</td>
<td>For code of Other (9) enter the name of the organization or system that assigned the Security ID: __________________________________________________________________________</td>
</tr>
<tr>
<td>5</td>
<td>Security ID………………………………………………………………………</td>
</tr>
<tr>
<td>5a</td>
<td>Security ID System: Please enter one of the following……………………………………………………………</td>
</tr>
<tr>
<td>5b</td>
<td>1 = CUSIP  2 = ISIN  3 = CINS  4 = Common Code  8 = Internally Generated</td>
</tr>
<tr>
<td>6</td>
<td>Security Description: ________________________________________________________________________</td>
</tr>
<tr>
<td>7</td>
<td>Name of Issuer: __________________________________________________________________________</td>
</tr>
<tr>
<td>8</td>
<td>Type of Issuer: Please enter one of the following………………………………………………………………………</td>
</tr>
<tr>
<td>9</td>
<td>Security Type: Please enter one of the following……………………………………………………………………</td>
</tr>
<tr>
<td>10</td>
<td>Intentionally Left Blank …………………………………………………………………………………………</td>
</tr>
<tr>
<td>11</td>
<td>Term Indicator (debt, including ABS, only; based on original maturity).………………………………………</td>
</tr>
<tr>
<td>12</td>
<td>Currency of Denomination:……………………………………………………………………………</td>
</tr>
<tr>
<td>13</td>
<td>Country of Foreign Holder:…………………………………………………………………………</td>
</tr>
</tbody>
</table>
Reporter Identification Number: Enter your 10-digit reporter identification number

16. US $ Fair (Market) Value of Foreign Owned U.S. Security
(Note: field length for electronic file is 16)

16a. Fair (Market) Value in Currency of Denomination of Foreign Owned...
U.S. Security (Note: field length for electronic file is 25)

17. Intentionally Left Blank

FOR EQUITY TYPE SECURITIES ONLY
(Security Type 1, 2, 3 or 4)

18. Number of Shares: Enter the number of shares
rounded to the nearest share

FOR SHORT-TERM AND LONG-TERM DEBT SECURITIES EXCLUDING ASSET-BACKED SECURITIES
(Security Type 5, 6, 7, 8, 9, 10 or 11)

19. Face Value in Currency of Denomination
(Note: field length for electronic file is 25)

20. Issue Date: MMDDYYYY format (including leading zeros)

21. Maturity Date: MMDDYYYY format (including leading zeros)

FOR SHORT-TERM AND LONG-TERM ASSET-BACKED SECURITIES ONLY
(Security Type 12)

22. Original Face Value in Currency of Denomination:
Enter value that would have been outstanding if no principal had been
repaid. (Note: field length for electronic file is 25)

23. Remaining Principal Outstanding in Currency of Denomination:
Enter the remaining principal outstanding as of last business day of June in
the currency of denomination. (Note: field length for electronic file is 25)

24. Issue Date: MMDDYYYY format (including leading zeros)

25. Maturity Date: MMDDYYYY format (including leading zeros)
APPENDIX B
FLOWCHARTS SHOWING REPORTING RESPONSIBILITY

A. FLOWCHART FOR U.S.-RESIDENT CUSTODIANS (all securities, including all book-entry securities held at a U.S.-resident central securities depository)

1. A foreign-resident investor or custodian uses U.S.-resident custodian to hold U.S. securities for its account and the U.S.-resident custodian does not use a U.S. resident sub-custodian.

   - Foreign-resident owner or Foreign-resident custodian
   - U.S.-resident custodian
   - U.S.-resident central securities depository

   Reports on Schedule 2
   Reports only if no U.S.-resident custodian is used

2. A foreign-resident investor or custodian uses U.S.-resident custodian to hold U.S. securities for its account and the U.S.-resident custodian uses a U.S. resident sub-custodian.

   - Foreign-resident owner or Foreign-resident custodian
   - U.S.-resident custodian
   - U.S.-resident sub-custodian

   Reports on Schedule 2 if they don’t fully disclose the client information to the sub-custodian
   Reports on Schedule 2 if they know the foreign client
B. **FLOWCHART FOR U.S.-RESIDENT ISSUERS** (registered securities, including all book-entry securities not issued directly into a foreign market)

U.S. registered security is held directly by a foreigner (i.e., no U.S.-resident custodian and no U.S.-resident central securities depository is used).

![Flowchart Diagram](image)

C. **FLOWCHART FOR SECURITIES ISSUED DIRECTLY INTO THE FOREIGN MARKET**

Issuers should assume that the entire issue is held directly by foreign residents. U.S.-resident custodians should report all securities held in custody that are foreign owned.

![Flowchart Diagram](image)

**Note:** FRBNY eliminates double reporting on a security-by-security basis. Custodial information is used to provide information on the geographical distribution of the owners of these securities.
Note: Depositary receipts/shares backed by foreign securities are considered “foreign.” In addition, all securities issued by the multinational organizations listed in Appendix Dare are considered foreign.
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<td>56804</td>
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</tr>
<tr>
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<td>Ukraine</td>
</tr>
<tr>
<td>46604</td>
<td>United Arab Emirates (including Abu Dhabi, Ajman, Dubai, Fujairah, Ras al Khaimah, Sharjah (including Kalbah), and Umm al Qaiwain)</td>
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<tr>
<td>13005</td>
<td>United Kingdom (including England, Northern Ireland, Scotland, Wales, Hebrides, Orkney Islands, and Shetland Islands)</td>
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<td>Uruguay</td>
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<td>16705</td>
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<td>60119</td>
<td>Wallis and Futuna</td>
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<tr>
<td>47201</td>
<td>West Bank and Gaza</td>
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<tr>
<td>56006</td>
<td>Western Sahara</td>
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<tr>
<td>47104</td>
<td>Yemen (Comprises the former Yemen Arab Republic and the former People’s Democratic Republic of Yemen)</td>
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<tr>
<td>57207</td>
<td>Zambia</td>
</tr>
<tr>
<td>54704</td>
<td>Zimbabwe (Zimbabwe)</td>
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<td>72907</td>
<td>International Organizations¹</td>
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<tr>
<td>74918</td>
<td>Latin American Regional Organizations¹</td>
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<tr>
<td>74942</td>
<td>Caribbean Regional Organizations¹</td>
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<tr>
<td>75906</td>
<td>Asian Regional Organizations¹</td>
</tr>
<tr>
<td>76902</td>
<td>African Regional Organizations¹</td>
</tr>
<tr>
<td>77909</td>
<td>Middle Eastern Regional Organizations¹</td>
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<tr>
<td>88862</td>
<td>Country Unknown</td>
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¹ See Appendix D for a list of International and Regional Organizations.

Special cases for this report:

This note applies to the following five geographic areas; they are without permanent occupants and/or are areas claimed/occupied by different countries: Antarctica; French Southern and Antarctic Lands (includes Ile Amsterdam, Ile Saint-Paul, Ile Crozet and Iles Kerguelen in southern Indian Ocean); the Paracel Islands; South Georgia and South Sandwich Islands; and the Spratly Islands.

Survey respondents should allocate such holdings to the TIC country-code used by the holders for tax purposes, or alternatively, the nationality of the holder.
List of International and Regional Organizations

The institutions listed below should be classified as option 1 (Official) on item 15 of Schedule 2.

INTERNATIONAL ORGANIZATIONS  
(country code 72907)

Food and Agriculture Organization (FAO)  
Franc Zone  
International Organization for Migration  
International Atomic Energy Agency  
International Bank for Reconstruction and Development (IBRD) (part of World Bank)  
United Nations Children's Fund (UNICEF)  
International Civil Aviation Organization  
International Criminal Court  
International Development Association (IDA) (part of World Bank)  
International Finance Corporation (IFC)  
International Fund for Agricultural Development  
International Labor Organization (ILO)  
International Monetary Fund (IMF)  
International Red Cross  
Multilateral Investment Guaranty Agency (MIGA)  
Preparatory Commission for the Comprehensive Nuclear-Test-Ban Treaty Organization  
United Nations (UN), and Specialized Agencies and Commissions  
Universal Postal Union  
World Health Organization (WHO)  
World Intellectual Property Organization (WIPO)  
World Trade Organization (WTO)

AFRICAN REGIONAL ORGANIZATIONS  
(country code 76902)

African Development Bank (AfDB)  
African Union (formerly Organization of African Unity)  
Arab Bank for Economic Development in Africa (BADEA)  
Conseil de l'Entente  
East African Common Service Organization  
East African Community  
East African Development Bank  
Organisation Commune Africaine et Mauricienne (OCAM)  
Special Arab Fund for Africa  
Union des Etats de l'Afrique Centrale  
Union Douanière des Etats de l'Afrique de l'Ouest  
Union Douanière et Économique de l'Afrique Centrale (UDEAC) (Customs and Economic Union of Central Africa)  
Union Monétaire Ouest-Africaine (UMOA) (West African Monetary Union)

ASIAN REGIONAL ORGANIZATIONS  
(country code 75906)

Asian Development Bank (ADB)  
Association of Southeast Asian Nations (ASEAN)  
Central Treaty Organizations (CENTO)  
Colombo Plan for Co-Operative Economic and Social Development in Asia and the Pacific

CARIBBEAN REGIONAL ORGANIZATIONS  
(country code 74942)

Caribbean Community and Common Market (CARICOM)  
Caribbean Development Bank  
Caribbean Investment Corporation  
Caribbean Regional Development Agency  
East Caribbean Development Bank  
Organization of Eastern Caribbean States (OECS)

EUROPEAN REGIONAL ORGANIZATIONS  
(country code 73903)

Council of Europe  
Eurocontrol  
Eurofima  
European Atomic Energy Community (Euratom)  
European Bank for Reconstruction and Development (EBRD)  
European Development Fund (EDF)  
European Free Trade Association (EFTA)  
European Investment Bank (EIB)  
European Investment Fund  
European Union (EU) (includes the EC)  
Nordic Investment Bank  
North Atlantic Treaty Organization (NATO)  
Organization for Economic Cooperation and Development (OECD)
LATIN AMERICAN REGIONAL ORGANIZATIONS (country code 74918)

Andean Development Corporation
Andean Community of Nations (CAN) (formerly Andean Group)
Banco Latino Americano De Exportaciones, SA (BLADEX)
Central American Bank of Economic Integration (CABEI; Banco Centralamericano de Integracion Economica (BCIE))
Central American Common Market (CACM)
Inter-American Development Bank (IDB)
Inter-American Investment Corporation
Inter-American Savings and Loan Bank
Latin American Integration Association
Latin American Reserve Fund (FLAR) (formerly Andean Reserve Fund)
North American Development Bank (NADBank)
Organization of American States (OAS) (Pan American Union) and affiliated organizations
Organization of Central American States (OCAS)
Pan American Health Organization (Pan American Sanitary Bureau)
Permanent Secretariat of the General Treaty on Central American Economic Integration
River Plate Basin Commission
Sistema Economico Latinamericano (SELA) (Latin American Economic System)

MIDDLE EASTERN REGIONAL ORGANIZATIONS (country code 77909)

Arab Authority for Agricultural Investment and Development
Arab Fund for Economic and Social Development (AFESD)
Arab Fund for Technical Assistance to Arab and African Countries
Arab Investment Company
Arab Joint Investment Company (U.A.E.- Egypt Investment Company)
Arab Monetary Fund
Arab Petroleum Investment Company
Cooperation Council for the Arab States of the Gulf (also Gulf Cooperation Council (GCC))
Gulf Investment Corporation
Inter-Arab Investment Guarantee Corporation
Islamic Development Bank
Islamic Solidarity Fund
Muslim World League
OPEC Special Fund
Organization of Arab Petroleum Exporting Countries (OAPEC)
Fund for Arab Oil Importing Countries
OAPEC Oil Facility
OAPEC Special Account
Special Fund for Arab Non-oil Producers
Organization of the Islamic Conference
Saudi-Egyptian Industrial Investment Company
Saudi-Egyptian Reconstruction Company
Solidarity Fund for Economic and Social Development in Non-aligned Countries
United Arab Shipping Company

Revised: June 2008
APENDIX E

List of certain Foreign Institutions classified as Official for purposes of reporting on the Treasury International Capital (TIC) forms

The attached list does not purport to be exhaustive; it only includes the major foreign official institutions which have come to the attention of the Federal Reserve Banks and the Department of the Treasury. For any questions on whether an institution is considered a "Foreign Official Institution" for TIC reporting purposes, please contact your Federal Reserve Bank analyst.

It should be noted that the list does not in every case include all alternative names applying to the same institution. For example, the list does not include all the administrative departments of the foreign central governments nor all special or sub accounts of foreign official institutions, although all are to be treated as official. In cases in which legations have been changed to embassies, the embassy is shown on the list; reporting institutions continuing to hold an account in the name of the legation, however, should consider it as official.

AFGHANISTAN
Afghan Permanent Mission to the United Nations
The Central Bank of Afghanistan (Da Afghanistan Bank)
Consulate of Afghanistan
Embassy of Afghanistan
Republic of Afghanistan

ALBANIA
Albanian Permanent Mission to the United Nations
Bank of Albania (Banka e Shtetit Shqiperise)
Consulate of the Republic of Albania
Embassy of the Republic of Albania
Ministry of Finance
Ministry of Foreign Affairs
Republic of Albania

ALGERIA
Algerian Permanent Mission to the United Nations
Bank of Algeria (Banque d'Algerie)
Consular Office
People's Democratic Republic of Algeria
Economic and Administrative Office
Embassy of the People's Democratic Republic of Algeria
Military Office
Revenue Regulation Fund (Fond de Regulation des Recettes)

ANDORRA
Embassy of Andorra
Government of Andorra
Ministeri de Finances i Interior
Principality of Andorra

ANGOLA
Angolan Permanent Mission to the United Nations
Consulate of the Republic of Angola
Embassy of the Republic of Angola
National Bank of Angola (Banco Nacional de Angola)
Republic of Angola
Trade Office

ANGUILLA
Government of Anguilla
Ministry of Finance

ANTIGUA and BARBUDA
Consulate of Antigua and Barbuda
Embassy of Antigua and Barbuda
Ministry of Finance
Government of Antigua and Barbuda

ARGENTINA
Argentine Government Purchasing Missions
Argentine Permanent Mission to the United Nations
Argentine Republic
Banco Central de la Republica Argentina
(central Bank of Argentine Republic)
Comision de Adquisiciones Aeronauticas en Canada y los Estados Unidos
Consular, Cultural, Accounting Offices
Consulate of the Argentine Republic
Embassy of the Argentine Republic
Instituto Argentino de Promocion del Intercambio (IAPI)
Ministry of Finance
Office of Air Attache
Office of Argentine Trade
Office of Financial Attache
Office of Military Attache
Office of Naval Attache
Office of Tourism

ARMENIA
Central Bank of Armenia
Consulate of the Republic of Armenia
Embassy of the Republic of Armenia
Republic of Armenia
ARUBA
Centrale Bank van Aruba (Central Bank of Aruba)
Department of Economic Affairs, Commerce and Industry
Government of Aruba

AUSTRALIA
Australian Imperial Force
Australian Permanent Mission to the United Nations
Australian State Annuity Board
Commonwealth of Australia
Consulate of Australia
Embassy of Australia
Future Fund (Commonwealth of Australia)
Office of Air Attache Reserve Bank of Australia
Reserve Bank of Australia
Senior Trade Commission

AUSTRIA
Oesterreichische Nationalbank (Austrian National Bank)
Austrian Permanent Mission to the United Nations
Consulate of Austria
Office of Austrian Press and Information
Office of Austrian Trade Commission
Office of Commercial Attache
Office of Defense, Military, Naval and Air Attache
Embassy of Austria
Republic of Austria
Trustee of the Austrian Government 1923-43 Bonds

AZERBAIJAN
Consulate of the Republic of Azerbaijan
Embassy of the Republic of Azerbaijan
National Bank of Azerbaijan
Republic of Azerbaijan
State Oil Fund (SOFAZ)

BAHAMAS
Bahamas Monetary Authority
Bahamian Permanent Mission to the United Nations
Central Bank of the Bahamas
Commonwealth of the Bahamas
Consulate of the Commonwealth of the Bahamas
Embassy of the Commonwealth of the Bahamas

BAHRAIN
Bahrain Monetary Agency
Bahrain Permanent Mission to the United Nations
Consulate of the Kingdom of Bahrain
Embassy of the Kingdom of Bahrain
Ministry of Finance and National Economy
State of Bahrain

BANGLADESH
Bangladesh Bank
Bangladesh Permanent Mission to the United Nations
Consulate of the People's Republic of Bangladesh
Embassy of the People's Republic of Bangladesh
People's Republic of Bangladesh

BANK FOR INTERNATIONAL SETTLEMENTS

BANK OF CENTRAL AFRICAN STATES
(BEAC, Banque des Etats de l'Afrique Centrale)

BARBADOS
Barbados Permanent Mission to the United Nations
Central Bank of Barbados
Consulate of Barbados
Embassy of Barbados
Government of Barbados

BELARUS
Consulate of the Republic of Belarus
Embassy of the Republic of Belarus
National Bank of the Republic of Belarus
Republic of Belarus

BELGIUM
Belgian Economic Mission (Mission Economique Belge)
Belgian Information Center
Belgian Permanent Mission to the United Nations
Consulate of Belgium
Commercial Counselor of Belgium
Embassy of Belgium
Kingdom of Belgium
Nationale Bank van Belgie – Banque Nationale de Belgique (National Bank of Belgium)
Office of Belgian Agricultural Attache
Office of Military Attache

BELIZE
Central Bank of Belize
Consulate of Belize
Embassy of Belize
Government of Belize

BENIN
Benin Permanent Mission to the United Nations
Consulate of the Republic of Benin
Embassy of the Republic of Benin
Ministry of Development, Economy, and Finance
Republic of Benin

BERMUDA
Bermuda Monetary Authority
Government of Bermuda
Ministry of Finance

BHUTAN
Bank of Bhutan
Bhutan Permanent Mission to the United Nations
Consulate of the Kingdom of Bhutan
Kingdom of Bhutan
Royal Monetary Authority of Bhutan

BOLIVIA
Banco Central de Bolivia (Central Bank of Bolivia)
Bolivian Army Purchasing Commission
Bolivian Government Central Purchasing Agency
Bolivian Permanent Mission to the United Nations
Consulate of Bolivia
Embassy of the Republic of Bolivia
Ministry of Finance
Office of Military, Naval and Air Attache
Republic of Bolivia
BOSNIA AND HERZEGOVINA
Central Bank of Bosnia and Herzegovina
Consulate of Bosnia and Herzegovina
Embassy of Bosnia and Herzegovina
Government of Bosnia and Herzegovina

BOTSWANA
Bank of Botswana
Botswana Permanent Mission to the United Nations
Consulate of the Republic of Botswana
Embassy of the Republic of Botswana
Pula Fund
Republic of Botswana

BRAZIL
Banco Central do Brasil (Central Bank of Brazil)
Banco do Brasil
Brazilian Aeronautical Commission
Brazilian Air Force Office
Brazilian Army Commission
Brazilian Consulate (Consulate of Brazil)
Brazilian Delegation to the Pan American Union
Brazilian Embassy (Embassy of Brazil)
Brazilian Naval Commission
Brazilian Navy Fund
Brazilian Permanent Mission to the United Nations
Brazilian Treasury Delegation
Comissao Mixta Ferroviaria Brasileiro Boliviano
Federative Republic of Brazil
Office of the Financial Counselor

BRUNEI
Brunei Currency and Monetary Board
Brunei Investment Agency
Brunei Investment Authority
Embassy of the State of Brunei Darussalam
General Reserve Fund
Government of Negara Brunei Darussalam
Ministry of Finance

BULGARIA
Bulgarian Permanent Mission to the United Nations
Bulgarian National Bank (Bulgarska Narodna Banka)
Consulate of the Republic of Bulgaria
Embassy of the Republic of Bulgaria
Legation of the Republic of Bulgaria
Office of Armed Forces Attache
Office of Commercial Counselor
Republic of Bulgaria

BURKINA-FASO
Burkina Faso Permanent Mission to the United Nations
Consulate of Burkina Faso
Embassy of Burkina Faso
Government of Burkina Faso
Ministry of Economy and Development

BURMA (MYANMAR)
Central Bank of Myanmar
Central Treasury Office
Consulate of Union of Burma
Embassy of Union of Burma
Office of Defense, Military, Naval & Air Attache
Union of Burma

BANK OF CANADA
Bank of Canada – Banque du Canada
Canadian Army Staff
Canadian Joint Staff
Canadian Naval Staff
Canadian Permanent Mission to the United Nations
Consulate General of Canada
Department of Trade and Commerce of Canada
Government of Canada
Dominion of Canada
Embassy of Canada
Exchange Funds Account
Minister of Finance and Receiver General of Canada
Office of the Commercial Counselor of Canada

BANK OF WEST AFRICAN STATES
(BCEAO; Banque Centrale des Etats de l’Afrique de l’Ouest)
CHAD
Chad Permanent Mission to the United Nations
Embassy of the Republic of Chad
Ministry of Economy and Finance
Republic of Chad

CHILE
Banco Central de Chile (Central Bank of Chile)
Caja Autonoma de Amortizacion de la Deuda Publica de Chile
Chilean Permanent Mission to the United Nations
Consulate of the Republic of Chile
Economic and Social Stabilization Fund
Embassy of the Republic of Chile
Ministry of Finance
Office of Air Attaché
Office of Military Attaché
Office of Naval Attaché
Pension Reserve Fund
Republic of Chile
Superintendencia de la Casa de Moneda y Especies Valoradas

CHINA, MAINLAND
Central Huijin Investment
China Hueijing Holding Company
China Investment Corporation
China Jianyin Investment
Chinese Permanent Mission to the United Nations
Consulate of the People’s Republic of China, and other consular offices in the U.S.
Embassy of the People's Republic of China (including all its offices)
Ministry of Finance
Ministry of Foreign Affairs
Ministry of Foreign Economic Relations and Trade
The People's Bank of China
People's Republic of China
State Administration of Foreign Exchange (SAFE) (formerly State Administration for Exchange Control (SAEC))

COLOMBIA
Banco de la Republica (Bank of the Republic)
Colombian Permanent Mission to the United Nations
Consulate of Colombia
Embassy of Colombia
Republic of Colombia

COMOROS
Central Bank of the Comoros (Banque Centrale des Comores)
Embassy of the Union of Comoros
Federal Islamic Republic of the Comoros

CONGO (BRAZZAVILLE)
Consulate of the Republic of the Congo
Embassy of the Republic of the Congo
Office of Defense Attaché
Ministry of Economy, Finance, and Budget
Republic of the Congo

CONGO (KINSHASA)
Banque Centrale du Congo (Central Bank of Congo)
Bank of the Democratic Republic of Congo
Consulate of the Democratic Republic of the Congo
Democratic Republic of the Congo
Embassy of the Democratic Republic of the Congo

COOK ISLANDS
Consular Office
Government of the Cook Islands
Ministry of Finance and Economic Management

COSTA RICA
Banco Central de Costa Rica (Central Bank of Costa Rica)
Commercial Office
Consulate of Costa Rica
Costa Rican Permanent Mission to the United Nations
Embassy of Costa Rica
Republic of Costa Rica

COTE D’IVOIRE (IVORY COAST)
Consulate of the Republic of Cote d’Ivoire
Embassy of the Republic of Cote d’Ivoire
Ivory Coast Permanent Mission to the United Nations
Ministry of Economy and Finance
Republic of the Ivory Coast (Republique de Cote d’Ivoire)

CROATIA
Consulate of the Republic of Croatia
Embassy of the Republic of Croatia
Croatian National Bank
Republic of Croatia

CUBA
Banco Central de Cuba (Central Bank of Cuba)
Consulate of Cuba
Cuban Interests Section, Embassy of Switzerland
Cuban Permanent Mission to the United Nations
Embassy of Cuba
Republic of Cuba

CYPRUS
Central Bank of Cyprus
Consulate of the Republic of Cyprus
Cypriot Permanent Mission to the United Nations
Embassy of the Republic of Cyprus
Maritime Office
Republic of Cyprus
Tourist Office

CZECH REPUBLIC
Commercial Office
Consulate of the Czech Republic (including Consulate General in Los Angeles)
Ceska Narodni Banka (Czech National Bank)
Czech Republic
Office of the Defense Attache of the Czech Republic
Embassy of the Czech Republic
Permanent Mission of the Czech Republic to the United Nations

DENMARK
Danish Permanent Mission to the United Nations
Kingdom of Denmark
Ministry of Finance
Danmarks Nationalbank (National Bank of Denmark)
Office of Defense, Military, Naval and Air Attaché
Royal Danish Consulate (Consulate of Denmark)
Royal Danish Embassy (Embassy of Denmark)
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<tr>
<th>Country</th>
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<td>The Caisse Francaise de Developpement</td>
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<td>Commercial Office, Trade Commission of France</td>
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<td>Consulate of France</td>
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<td>Credit National</td>
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<td>Cultural Services Office of France</td>
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<td>Embassy of France</td>
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<td>Financial Service (French Treasury Office)</td>
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<td>French Permanent Mission to the United Nations</td>
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<td>French Republic</td>
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<td>Press Section Office of France</td>
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<td>Social Service Office of France</td>
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<td>Tourism Office of France</td>
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<td>Trade Office of France</td>
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<td>Stabilization Fund of the Republic of France</td>
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<td>FRENCH GUIANA</td>
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<td></td>
<td>Caisse Centrale de Cooperation Economique</td>
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<td></td>
<td>Department of French Guiana, French Republic</td>
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<td></td>
<td>L’agence de l’IEDOM à la Guyane (IEDOM – Institut d’Emission des Departements d’Outre mer)</td>
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<tr>
<td></td>
<td>Office des Changes de Guyane</td>
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<td></td>
<td>FRENCH POLYNESIA</td>
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<td></td>
<td>L’agence de l’IEOM à Polynésie française (IEOM – Institut d’Emission d’Outre mer)</td>
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<td></td>
<td>Ministry of Finance and Tourism</td>
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<td>Territory of French Polynesia</td>
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<td>GABON</td>
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<td>Gebonsese Permanent Mission to the United Nations</td>
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<td>Gabonese Republic</td>
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<td></td>
<td>Ministry of Economy, Finance, Budget, and Privatization</td>
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<td>GAMBIA</td>
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<td>Consulate of The Gambia</td>
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<td>Gambian Permanent Mission to the United Nations</td>
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<td>Republic of The Gambia</td>
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<td>GEORGIA</td>
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<td>Embassy of the Republic of Georgia</td>
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<td>Office of Information and Culture</td>
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<td>National Bank of Georgia</td>
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<td>Consulate of the Federal Republic of Germany</td>
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<td>German Permanent Mission to the United Nations</td>
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<td>Joint Export Import Agency</td>
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<td>Trustees of German External Loan</td>
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<td>GHANA</td>
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<td>GIBRALTAR</td>
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<td>Government of Gibraltar</td>
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<td>GREECE</td>
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<td></td>
<td>Consulate of Greece</td>
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<td></td>
<td>Economic and Commercial Office of Greece</td>
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<td>Embassy of Greece</td>
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<td>Greek Permanent Mission to the United Nations</td>
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<td>Greek Seamen’s Pension Fund</td>
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<td>Greek Supply Council</td>
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<td>Greek Tourist Office of Greece</td>
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<td>Hellenic Republic</td>
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<td>Office of the Commercial Counselor</td>
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<td>Office of Defense, Military, Naval and Air Attaché</td>
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<td>Office of Educational Affairs of Greece</td>
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<td>Office of Mercantile Marine of Greece</td>
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<td>GREENLAND</td>
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<td>Government of Greenland (Home Rule Government)</td>
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<td>Ministry of Finances and Foreign Affairs</td>
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<td>GRENADA</td>
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<td>Embassy of Grenada</td>
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<td>Government of Grenada</td>
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<td>Ministry of Finance</td>
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<td>GUADELOUPE</td>
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<td>L’agence de l’IEDOM à la Guadeloupe (IEDOM – Institut d’Emission des Departements d’Outre mer)</td>
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<td>Office des Changes de la Guadeloupe</td>
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<td>GUATEMALA</td>
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<td>Consulate of Guatemala</td>
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<td>Embassy of Guatemala</td>
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<td>Guatemalan Government Agencies</td>
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<td>Guatemalan Permanent Mission to the United Nations</td>
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<td>Office of Commercial Attaché</td>
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<td>Office of Defense, Military, Naval and Air Attaché</td>
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<td>Republic of Guatemala</td>
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<td>Secretaria de Hacienda y Credito Publico de Guatemala</td>
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<td>GUERNSEY</td>
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<td>Guernsey Financial Services Commission</td>
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<td>The Treasurer, States of Guernsey</td>
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<td>Treasury and Resources Department</td>
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GUINEA
Central Bank of the Republic of Guinea (Banque Centrale de la République de Guinée)
Consulate of the Republic of Guinea
Embassy of the Republic of Guinea
Guinea Permanent Mission to the United Nations
Republic of Guinea

GUINEA BISSAU
Embassy of the Republic of Guinea-Bissau
Guinea-Bissau Permanent Mission to the United Nations
Ministry of Finance
Republic of Guinea Bissau

GUYANA
Bank of Guyana
Consulate of Guyana
Cooperative Republic of Guyana
Embassy of Guyana
Guyanan Permanent Mission to the United Nations

HAITI
Central Bank of Haiti
Consulate of the Republic of Haiti
Embassy of the Republic of Haiti
Haitian Permanent Mission to the United Nations
Office of Commercial Attaché
Office of Military Attaché
Republic of Haiti

HOLY SEE (VATICAN CITY)
Accounts in the name of "His Holiness, the Pope"
Amministrazione dei Beni Della Santa Sede
Amministrazione Speciale Della Santa Sede
Apostolic Delegation
Apostolic Nunciature
Holy See
Istituto per le Opere di Religione (IOR)
(Institute for Works of Religion)
Mission for the Society of Jesus
Vatican Account
The Permanent Observer Mission of the Holy See to the United Nations
Patrimony of the Holy See
Pontificia opera Assistenza Comitato Economico
Prefecture for Economic Affairs
Sacra Congregazione de Propaganda Fide, Vatican City
State of the Vatican City
Vatican City Amministrazione Patrimonio

HONDURAS
Banco Central de Honduras (Central Bank of Honduras)
Consul General of Honduras
Embassy of Honduras
Honduran Exchange Control Commission
Honduran Permanent Mission to the United Nations
Ministerio de Hacienda Credito Publico y Comercio
Republic of Honduras

HONG KONG
Exchange Fund
Hong Kong Government Treasury
Hong Kong Monetary Authority (HKMA)
Hong Kong Monetary Authority (HKMA) Investment Portfolio

HUNGARY
Commercial Office
Consulate of the Republic of Hungary
Embassy of the Republic of Hungary
Hungarian Foreign Trade Bank Ltd.
(Magyar Kulkereskedési Bank Rt)
Hungarian Permanent Mission to the United Nations
Hungarian Tourist Board
Legation of Hungary
Magyar Nemzeti Bank (National Bank of Hungary)
Ministry of Finance
Ministry of Foreign Affairs
Ministry of Foreign Trade
Office of Military and Air Attaché
Republic of Hungary

ICELAND
Central Bank of Iceland (Sedlabanki Islands)
Consulate of Iceland
Counselor of Iceland
Embassy of Iceland
Icelandic Permanent Mission to the United Nations
Republic of Iceland

INDIA
Commercial and Supply Office
Consulate of India
Embassy of India
Government of India Education Department
Government of India Information Services
Indian Permanent Mission to the United Nations
Passport and Visa Section
Republic of India
Reserve Bank of India

INDONESIA
Bank Indonesia
Consulate of the Republic of Indonesia
Embassy of the Republic of Indonesia
Indonesia Supply Mission
Indonesian Permanent Mission to the United Nations
Republic of Indonesia
Trade Promotion Center of Indonesia

IRAN
The Central Bank of the Islamic Republic of Iran
(Bank Markazi Jomhouri Islami Iran)
Foreign Exchange Reserve Account
Islamic Republic of Iran
Iranian Permanent Mission to the United Nations
Iranian Interests Section, Embassy of Pakistan
Oil Stabilization Fund

IRAQ
Central Bank of Iraq
Embassy of the Republic of Iraq
Iraqi Fund for Foreign Development (IFFD)
Iraqi Permanent Mission to the United Nations
Republic of Iraq
KUWAIT
Central Bank of Kuwait
Consulate of the State of Kuwait
Cultural, University, Liaison Offices
Embassy of the State of Kuwait
General Reserve Fund
Kuwait Currency Board
Kuwait Fund for Arab Economic Development (KFAED)
Kuwait Investment Authority
Kuwait Investment Office
Kuwaiti Permanent Mission to the United Nations
Ministry of Finance and Oil
Office of Health
Office of Information
Public Institution for Social Security
Future Generations Fund
State of Kuwait

KYRGYZSTAN
Consulate of the Kyrgyz Republic
Embassy of the Kyrgyz Republic
National Bank of the Kyrgyz Republic
Republic of Kyrgyzstan

LAOS
Banque du Commerce Exterieur Lao
Embassy of the Lao People's Democratic Republic
(banque de Laos)
Lao People's Democratic Republic
Laotian Permanent Mission to the United Nations
Ministry of Finance
Bank of the Lao People's Democratic Republic
(Fab. banque de la rdp Lao)

LATVIA
Bank of Latvia (Latvijas Banka)
Consulate of Latvia
Embassy of Latvia
Department of State Economy
Latvian Ministry of Finance
Legation of Latvia
Republic of Latvia

LEBANON
Banque du Liban (Central Bank of Lebanon)
Consulate of Lebanon
Embassy of Lebanon
Lebanese Permanent Mission to the United Nations
Ministry of Defense
Republic of Lebanon

LESOThO
Central Bank of Lesotho
Consulate of the Kingdom of Lesotho
Embassy of the Kingdom of Lesotho
Kingdom of Lesotho
Lesotho Permanent Mission to the United Nations

LIECHTENSTEIN
Embassy of the Principality of Liechtenstein
Principality of Liechtenstein
State Bank of Liechtenstein
(Liechtensteinische Landesbank)

LIBERIA
Consulate of the Republic of Liberia
Embassy of the Republic of Liberia
Liberian Permanent Mission to the United Nations
Ministry of Finance
National Bank of Liberia
Republic of Liberia

LIBYA
Central Bank of Libya
Embassy of Libya
Libyan Liaison Office
Libyan Permanent Mission to the United Nations
Socialist People's Libyan Arab Jamahiriya
Libyan Investment Authority
Libyan Arab Foreign Investment Company

LITHUANIA
Consulate of the Republic of Lithuania
Embassy of the Republic of Lithuania
Lietuvos Bankas (Bank of Lithuania)
Lithuanian Legation
Republic of Lithuania

LUXEMBOURG
Banque Centrale du Luxembourg (Central Bank of Luxembourg)
Consulate of the Grand Duchy of Luxembourg
Embassy of the Grand Duchy of Luxembourg
Grand Duchy of Luxembourg
Institut Monetaire Luxembourgeois
Luxembourg Permanent Mission to the United Nations

MACAU
Macau Special Administrative Region (Macau (S.A.R.)
Monetary Authority of Macau (Authoridade Monetaria de Macau)
Secretariat for Economy and Finance

Macedonia, Republic of
Consulate of the Republic of Macedonia
Embassy of the Republic of Macedonia
National Bank of the Republic of Macedonia
(Narodna Banka na Makedonija)
Republic of Macedonia

MADAGASCAR
Central Bank of Madagascar (Banque Centrale de Madagascar)
Consulate of the Republic of Madagascar
Democratic Republic of Madagascar
Embassy of the Republic of Madagascar
Madagascar Permanent Mission to the United Nations

MALAWI
Embassy of Malawi
Malawi Permanent Mission to the United Nations
Republic of Malawi
Reserve Bank of Malawi
MALAYSIA
Bank Negara Malaysia (Central Bank of Malaysia)
Consulate of Malaysia
Embassy of Malaysia
Government of Malaysia
Khazanah Nasional Berhad
Malayan Permanent Mission to the United Nations
Malaysian Rubber Export Promotion Council
Ministry of Finance (Treasury Malaysia)
Office of Defense, Military, Naval and Air Attaché
Office of Economic Counselor
Office of Malaysian Students
Office of Mara Students
Office of Scientific Attaché

MALDIVES
Embassy of the Republic of Maldives
Maldives Monetary Authority
Maldives Permanent Mission to the United Nations
Republic of Maldives

MALI
Consulate of the Republic of Mali
Embassy of the Republic of Mali
Mali Permanent Mission to the United Nations
Ministry of Finance
Republic of Mali

MALTA
Central Bank of Malta
Consulate of Malta
Embassy of Malta
Maltese Permanent Mission to the United Nations
Republic of Malta

MARSHALL ISLANDS
Compact Trust Fund
Consulate of the Republic of the Marshall Islands
Embassy of the Republic of the Marshall Islands
Marshall Islands Nuclear Claims Tribunal
Ministry of Finance
Republic of the Marshall Islands

MARTINIQUE
Department of Martinique, French Republic
Institut d'Emission des Départements d'Outre mer (IEDOM) (L'agence de l'IEDOM à la Martinique)
Office des Changes de la Martinique

MAURITANIA
Central Bank of Mauritania
(Banque Centrale de Mauritanie)
Embassy of the Islamic Republic of Mauritania
Islamic Republic of Mauritania
Mauritanian Permanent Mission to the United Nations
National Fund for Hydrocarbon Resources

MAURITIUS
Bank of Mauritius
Consulate of Republic of Mauritius
Embassy of Republic of Mauritius
Mauritius Permanent Mission to the United Nations
Republic of Mauritius

MAYOTTE
L'agence de l'IEDOM à Mayotte (IEDOM – Institut d'Emission des Départements d'Outre mer)
Territorial Collectivity of Mayotte

MEXICO
Banco de Mexico (Bank of Mexico)
Commercial Office of Mexico
Consulate of Mexico
Delegacion Fiscal Mexico
Embassy of Mexico
Foreign Trade Institute of Mexico
Mexican Permanent Mission to the United Nations
Office of Agriculture and Forestry Minister
Office of Defense, Military and Air Attaché
Office of Financial Counselor
Office of Naval Attaché
Office of Attorney General of Mexico
Office of Tourism Minister
Office of Trade Minister
Office of Trade Negotiations
Oil Income Stabilization Fund
Tourism Board of Mexico
United Mexican States

MICRONESIA, FEDERATED STATES OF
Compact Trust Fund
Consulate of the Federated States of Micronesia
Embassy of the Federated States of Micronesia
Federated States of Micronesia Banking Board
Federated States of Micronesia Finance Ministry
Federated States of Micronesia Social Security Administration
Federated States of Micronesia

MOLDOVA
Consulate of the Republic of Moldova
Embassy of the Republic of Moldova
The National Bank of Moldova
Republic of Moldova

MONGOLIA
The Bank of Mongolia
Consulate of Mongolia
Embassy of Mongolia
Government of Mongolia
Mongolian Permanent Mission to the United Nations

MONACO
Consulate of the Principality of Monaco
Département des Finances et de l'Economie
Principality of Monaco

MOROCCO
Bank Al-Maghrib (Banque Al Maghrīb)
Consulate of the Kingdom of Morocco
Embassy of the Kingdom of Morocco
Kingdom of Morocco
Moroccan Permanent Mission to the United Nations

MOZAMBIQUE
Bank of Mozambique (Banco de Mozambique)
Embassy of the Republic of Mozambique
Mozambique Permanent Mission to the United Nations
Republic of Mozambique
NAMIBIA
Bank of Namibia
Consulate of the Republic of Namibia
Embassy of the Republic of Namibia
Republic of Namibia

NAURU
Bank of Nauru
Consulate of the Republic of Nauru
Embassy of the Republic of Nauru
Republic of Nauru

NEPAL
Royal Nepalese Consulate
Royal Nepalese Embassy (Embassy of Nepal)
Kingdom of Nepal
Ministry of Finance
Nepalese Permanent Mission to the United Nations
Nepal Rastra Bank (Central Bank of the Kingdom of Nepal)

NETHERLANDS
Auditor General of the Netherlands Government
De Nederlandsche Bank (Netherlands Bank)
Departments of the Government of the Netherlands
Dutch Permanent Mission to the United Nations
Kingdom of the Netherlands
Ministry of Finance
Netherlands Economic Mission
Netherlands Government
Netherlands Government Food Purchasing Bureau
Netherlands Ministry of Traffic, Directorate General of Shipping
Netherlands Purchasing Commission
Office of Netherlands Naval Attache
Royal Netherlands Consulate (Consulate of the Netherlands)
Royal Netherlands Embassy (Embassy of the Netherlands)
Royal Netherlands Navy
The Netherlands Information Bureau

NETHERLANDS ANTILLES
Bank van de Nederlandse Antillen (Bank of the Netherlands Antilles)
Government of the Netherlands Antilles
Ministry of Finance

NEW CALEDONIA
L'agence de l'IEOM à la Nouvelle-Calédonie (IEOM – Institut d'Emission d'Outre mer)
Ministry of Economy and Finance
Territory of New Caledonia and Dependencies

NEW ZEALAND
Consulate of New Zealand
Dominion of New Zealand
Embassy of New Zealand
New Zealand Permanent Mission to the United Nations
New Zealand Superannuation Fund
New Zealand Trade Commission
New Zealand Treasury
Office of Commercial Attaché
Reserve Bank of New Zealand

NICARAGUA
Banco Central de Nicaragua (Central Bank of Nicaragua)
Consulate of the Republic of Nicaragua
Corporation of Nicaragua (Corporacion Financiera de Nicaragua (CORFIN))
Embassy of the Republic of Nicaragua
High Comissioner of the Republic of Nicaragua
Ministry of Treasury and Public Credit
Nicaraguan Permanent mission to the United Nations
Office of Military Attaché to the Nicaraguan Embassy
Republic of Nicaragua
Republic of Nicaragua Collector General of Customs

NIGER
Embassy of the Republic of Niger
Niger Permanent Mission to the United Nations
Ministry of Finance
Republic of Niger

NIGERIA
Central Bank of Nigeria
Consulate of the Federal Republic of Nigeria
Embassy of the Federal Republic of Nigeria
Excess Crude Account
Federal Republic of Nigeria
Nigerian Permanent Mission to the United Nations

NIUE
Treasur y Department
Government of Niue

NORWAY
Government Pension Fund (former State Petroleum Fund)
Government Petroleum Insurance Fund
Kingdom of Norway
Ministry of Finance
Norges Bank (Central Bank of Norway)
Norwegian Permanent Mission to the United Nations
Norwegian Purchasing Commission
Norwegian Shipping and Trade Commission
Royal Norwegian Air Force
Royal Norwegian Commerce Department
Royal Norwegian Consulate (Consulate of Norway)
Royal Norwegian Embassy (Embassy of Norway)
Royal Norwegian Information Service

OMAN (MUSCAT AND OMAN)
Central Bank of Oman
Consulate of Oman
Defense Office
Embassy of the Sultanate of Oman
Muscat Currency Authority
Oil Fund
Oman Permanent Mission to the United Nations
State General Reserve Fund
Sultanate of Oman

PAKISTAN
Consulate of Pakistan
Embassy of Pakistan
Islamic Republic of Pakistan
Office of Defense Procurement Attaché
Office of Educational and Cultural Attaché
Pakistan Trade Office
Pakistani Permanent Mission to the United Nations
State Bank of Pakistan
State of Pakistan
PANAMA
Consulate of the Republic of Panama
Embassy of the Republic of Panama
Ministerio de Hacienda y Tesoro
National Bank of Panama (Banco Nacional de Panama)
Panamanian Permanent Mission to the United Nations
Republic of Panama

PALAU
Compact Trust Fund
Consulate of the Republic of Palau
Embassy of the Republic of Palau
Ministry of Finance
Republic of Palau Social Security System
Republic of Palau

PAPUA NEW GUINEA
Bank of Papua New Guinea
Consulate of Papua New Guinea
Embassy of Papua New Guinea
Independent State of Papua New Guinea
Ministry of Finance and Treasury

PARAGUAY
Banco Central del Paraguay (Central Bank of Paraguay)
Consulate of Paraguay
Embassy of Paraguay
Paraguayan Permanent Mission to the United Nations
Office of Commercial and Economic Affairs
Office of Defense Attache
Office of Press and Information Affairs
Office of Visa and Consular Affairs
Republic of Paraguay

PERU
Banco Central de Reserva del Peru (Central Reserve Bank of Peru)
Consulate of Peru
Embassy of Peru
Office of Air Attache to the Peruvian Embassy
Office of Joint Fight Against Drugs
Office of Military Attache
Office of Peruvian Naval Commissioner & Attache
Peruvian Permanent Mission to the United Nations
Republic of Peru

PHILIPPINES
Bangko Sentral ng Pilipinas (Central Bank of the Philippines)
Commercial Office
Consulate of the Republic of the Philippines
Embassy of the Republic of the Philippines
Office of Armed Forces of the Philippines Attache
(Procurement Mission Fund)
Office of Commercial Counselor
Philippine Constabulary
Philippine Permanent Mission to the United Nations
Philippine Purchasing Agency
Philippine Relief and Trade Rehabilitation Administration
Republic of the Philippines
Tourism Office of the Philippines

POLAND
Commercial Office
Consulate of the Republic of Poland
Embassy of the Republic of Poland
Ministry of Finance
Ministry of Foreign Affairs
Ministry of Foreign Trade
Ministry of Maritime Economy
National Bank of Poland (Narodowy Bank Polski)
Office of Defense Attache
Office of Economic and Financial Counselor
Polish American Supply Corporation
Republic of Poland
Polish Permanent Mission to the United Nations

PORTUGAL
Agencia Financial de Portugal
Banco de Portugal (Bank of Portugal)
Casa de Portugal
Commercial Office
Consulate of Portugal
Embassy of Portugal
Office of Defense, Military, Naval and Air Attache
Portuguese Permanent Mission to the United Nations
Portuguese Republic

QATAR
Consulate of the State of Qatar
Embassy of the State of Qatar
Ministry of Finance
Offices of Medical, Cultural & Military Attache
Qatar Central Bank
Qatar Investment Authority
Qatar Permanent Mission to the United Nations
State of Qatar

REUNION
Department of Reunion, French Republic
L'agence de l'IEDOM à la Reunion (IEDOM – Institut d'Emission des Departements d'Outre mer)

ROMANIA
Consulate of Romania
Embassy of Romania
Government of Romania
National Bank of Romania
Office of Defense, Military, Naval and Air Attache
Office of Economic Counselor
Romanian Permanent Mission to the United Nations

RUSSIA
Central Bank of Russia (Bank Russii)
Consulate of the Russian Federation
Embassy of the Russian Federation
Ministry of Finance
National Wealth Fund
Office of Defense, Military, Naval and Air Attache
Office of Fisheries Attache
Office of Information
Office of Trade
Office of Trade Representative of the Russian Federation
Reserve Fund
Russian Cultural Centre
Russian Federation
Stabilization Fund of the Russian Federation
RWANDA
Banque Nationale du Rwanda (National Bank of Rwanda)
Consulate of the Republic of Rwanda
Embassy of the Republic of Rwanda
Republic of Rwanda
Rwanda Permanent Mission to the United Nations

ST. HELENA
Commissioners of Currency
Currency Fund
Department of Finance
Government of Saint Helena

ST. KITTS AND NEVIS
Consulate of St. Kitts and Nevis
Embassy of St. Kitts and Nevis
Federation of Saint Kitts and Nevis
Ministry of Finance

ST. LUCIA
Consulate of Saint Lucia
Embassy of Saint Lucia
Government of Saint Lucia
Ministry of Finance, International Financial Services and Economic Affairs

ST. PIERRE AND MIQUELON
L'agence de l'IEDOM à Saint-Pierre et Miquelon (IEDOM – Institut d'Emission des Départements d'Outre mer)
Territorial Collectivity of Saint Pierre and Miquelon

ST. VINCENT AND THE GRENADINES
Consulate of Saint Vincent and the Grenadines
Embassy of Saint Vincent and the Grenadines
Government of Saint Vincent and the Grenadines
Ministry of Finance

SAMOA (formerly WESTERN SAMOA)
Bank of Samoa
Central Bank of Samoa
Consulate of the Independent State of Samoa
Embassy of the Independent State of Samoa
Independent State of Samoa
Monetary Board of Samoa

SAN MARINO
Central Bank of the Republic of San Marino
Consulate of the Republic of San Marino
Republic of San Marino
San Marinense Institute of Credit

SAO TOME and PRINCIPE
Central Bank of Sao Tome and Principe
(Banco Central de Sao Tome e Principe)
Consulate of the Democratic Republic of Sao Tome and Principe
Democratic Republic of Sao Tome and Principe
Sao Tome and Principe Permanent Mission to the United Nations
National Oil Account

SAUDI ARABIA
Commercial Office
Kingdom of Saudi Arabia
Medical Office

MINISTRY OF FINANCE
Office of Defense and Armed Forces Attaché
Office of Saudi Arabian National Guard
Public Investment Fund
Royal Consulate of Saudi Arabia
Royal Embassy of Saudi Arabia (Embassy of Saudi Arabia)
Saudi Arabian Cultural Mission
Saudi Arabian Monetary Agency (SAMA)
Saudi Arabian Permanent Mission to the United Nations
Saudi Fund for Development (SFD)

SENÉGAL
Consulate of the Republic of Senegal
Embassy of the Republic of Senegal
Office of Defense, Air & Military Attaché
Ministry of Economy and Finance
Republic of Senegal
Senegalese Permanent Mission to the United States

SERBIA and MONTENEGRO and KOSOVO
Banking and Payment Authority of Kosovo
Central Bank of Montenegro (Centrale banka Crne Gore)
Consulate of Kosovo
Consulate of Montenegro
Consulate of Serbia
Embassy of Kosovo
Embassy of Montenegro
Embassy of Serbia
Ministry of Finance of the Republic of Kosovo
Ministry of Finance of the Republic of Montenegro
Ministry of Finance of the Republic of Serbia
National Bank of Serbia (Narodna banka Srbije)
Republic of Kosovo
Republic of Montenegro
Republic of Serbia

SEYCHELLES
Central Bank of Seychelles
Consulate of the Republic of Seychelles
Embassy of the Republic of Seychelles
Republic of Seychelles
Seychelles Permanent Mission to the United Nations

SIERRA LEONE
Bank of Sierra Leone
Embassy of Sierra Leone
Republic of Sierra Leone
Sierra Leone Permanent Mission to the United Nations

SINGAPORE
Board of Commissioners of Currency
Consulate of the Republic of Singapore
Embassy of the Republic of Singapore
Government of Singapore Investment Corporation (GSIC)
Ministry of Finance
Monetary Authority of Singapore
Republic of Singapore
Singapore Permanent Mission to the United Nations
Temasek Holdings

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SLOVAKIA
Consulate of the Slovak Republic
Embassy of the Slovak Republic
Government of the Slovak Republic
Office of the Commercial Section
National Bank of Slovakia (Narodna Banka Slovenska) (central bank)
Slovak Permanent Mission to the United Nations

SLOVENIA
Bank of Slovenia
Consulate of the Republic of Slovenia
Embassy of the Republic of Slovenia
Republic of Slovenia

SOLOMON ISLANDS
Central Bank of Solomon Islands
Embassy of the Solomon Islands
Ministry of Finance
Republic of Solomon Islands

SOMALIA
Central Bank of Somalia (Bankiga Dhexe ee Soomaaliya)
Embassy of Somali Republic
Somali Republic
Somalian Permanent Mission to the United Nations

SOUTH AFRICA
Agricultural Office
Department of Finance
Embassy of the Republic of South Africa
Office of Communication Section
Office of Department of Trade and Industry
Office of Public Affairs
Republic of South Africa
Republic of South Africa Department of Customs
Republic of South Africa Government Supply Office
South African Consulate General
South African Permanent Mission to the United Nations
South African Reserve Bank
Technical Office

SPAIN
Banco de Espana (Bank of Spain)
Consulate of Spain
Cultural Office
Economic & Commercial Office
Embassy of Spain
Financial Office
Foreign Market Services (Servicios de Mercados Extranjeros)
Instituto Espanol de Moneda Extranjera
Kingdom of Spain
National Spanish Tourist Office
Office of Air Attaché
Office of Defense Attaché
Office of Defense Cooperation Attaché
Office of Education
Office of Information
Office of Labor and Social Affairs
Office of Military Attaché
Office of Naval Attaché
Spanish Agriculture Office
Spanish Commercial Office
Spanish Permanent Mission to the United Nations
Spanish State

SRI LANKA
Central Bank of Sri Lanka
Consulate of the Democratic Socialist Republic of Sri Lanka
Democratic Socialist Republic of Sri Lanka
Embassy of the Democratic Socialist Republic of Sri Lanka
Sri Lanka Permanent Mission to the United Nations

SUDAN
Bank of Sudan
Embassy of the Republic of the Sudan
Office of the Cultural Counselor
Office of Information Attaché
Oil Revenue Stabilization Account
Republic of the Sudan
Sudanese Permanent Mission to the United Nations

SURINAME
Central Bank van Suriname (Central Bank of Suriname)
Consulate of the Republic of Suriname
Embassy of the Republic of Suriname
Republic of Suriname
Suriname Permanent Mission to the United Nations

SWAZILAND
The Central Bank of Swaziland
Embassy of the Kingdom of Swaziland
Kingdom of Swaziland
Monetary Authority of Swaziland
Swaziland Permanent Mission to the United Nations

SWEDEN
Consulate of Sweden
Embassy of Sweden
Kingdom of Sweden
Sveriges Riksbank (Bank of Sweden)
Swedish Trade Council
Swiss Confederation
Swiss Government Departments

SWITZERLAND
Consulate General of Switzerland
Embassy of Switzerland
Schweizerische Nationalbank (Banque Nationale Suisse) (Swiss National Bank)
Swiss Confederation
Swiss Government Departments

SYRIA
Central Bank of Syria (Masrif Suriyah al-Markaz)
Consulate of the Syrian Arab Republic
Embassy of the Syrian Arab Republic
Syrian Arab Republic
Syrian Permanent Mission to the United Nations
TAIWAN
Board of Supplies, Executive Yuan
The Central Bank of China (Taiwan)
China Defense Supplies Incorporated
Chinese Petroleum Corporation
Joint Commission on Rural Reconstruction in Taiwan
National Stabilisation Fund
Ministry of Economic Affairs
Ministry of Finance
Republic of China
Taipei Economic Culture and Representative Office (TECRO)

TAJIKISTAN
Consulate Republic of Tajikistan
Embassy Republic of Tajikistan
National Bank of the Republic of Tajikistan
Republic of Tajikistan

TANZANIA
Bank of Tanzania
Consulate of the United Republic of Tanzania
Embassy of the United Republic of Tanzania
Tanzanian Permanent Mission to the United Nations
United Republic of Tanzania

THAILAND
Bank of Thailand
Consulate of Thailand
Cultural Office
Embassy of Thailand (Royal Thai Embassy)
Kingdom of Thailand
Office of Air Attaché
Office of Commercial Affairs
Office of Economic and Financial Affairs
Office of Education Affairs
Office of Education Counselor
Office of Industrial Affairs
Office of Information
Office of Military Attaché
Office of Naval Attaché
Office of Science and Technology
Siamese Fund
Thai Permanent Mission to the United Nations

TOGO
Consulate of the Republic of Togo
Embassy of the Republic of Togo
Ministry of Economy, Finance and Privatization
Republic of Togo

TONGA
Consulate of the Kingdom of Tonga
Embassy of the Kingdom of Tonga
Kingdom of Tonga
National Reserve Bank of Tonga

TRINIDAD AND TOBAGO
Central Bank of Trinidad and Tobago
Consulate of the Republic of Trinidad and Tobago
Embassy of the Republic of Trinidad and Tobago
Heritage and Stabilization Fund
Republic of Trinidad and Tobago
Trinidad and Tobago Permanent Mission to the United Nations

TUNISIA
Banque Centrale de Tunisie (Central Bank of Tunisia)
Consulate of Tunisia
Embassy of Tunisia
Office of Defense Armed Forces Attaché
Republic of Tunisia
Tunisian Information Office
Tunisian Permanent Mission to the United Nations

TURKEY
Consulate of the Republic of Turkey
Embassy of the Republic of Turkey
Office of Agricultural Counselor
Office of Commercial Counselor
Office of Defense Attaché
Office of Defense, Air, Military & Naval Attaché
Office of Economic Counselor
Office of Educational Counselor
Office of Financial and Customs Counselor
Office of Planning
Office of Press Counselor
Office of Social Affairs
Office of Tourism Counselor
Republic of Turkey
Republic of Turkey Purchasing Delegation
Turkish Economic Mission
Turkish Permanent Mission to the United Nations
Turkiye Cumhuriyet Merkez Bankası
(Central Bank of the Republic of Turkey)

TURKMENISTAN
Central Bank of Turkmenistan
Consulate of Turkmenistan
Embassy of Turkmenistan
Republic of Turkmenistan

TUVALU
Government of Tuvalu
Ministry of Finance, Economic Planning & Industry
National Bank of Tuvalu

UGANDA
Bank of Uganda
Embassy of the Republic of Uganda
Poverty Action Fund
Republic of Uganda
Ugandan Permanent Mission to the United Nations

UKRAINE
Consulate of Ukraine
Embassy of Ukraine
National Bank of Ukraine
Government of Ukraine
APPENDIX F
### APPENDIX F: LIST OF CURRENCY CODES

<table>
<thead>
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<th>Code</th>
<th>Currency</th>
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APPENDIX G
GLOSSARY

AMERICAN DEPOSITARY RECEIPT (ADR) - Negotiable certificates, typically issued by a U.S.-resident company and backed by shares of stock issued by a foreign corporation. ADRs that represent ownership of foreign securities are considered foreign securities and should not be reported, even if issued by a U.S.-resident company.

ASSET-BACKED SECURITIES - Securitized interests in a pool of assets, which give the purchaser a claim against the cash flows generated by the underlying assets. These pools may be derived from mortgage loans, auto loans, credit card receivables, vehicle and equipment leases, consumer loans, commercial loans or other assets. All asset-backed securities, including CMOs, CLOs, CBOs, CDOs, and stripped asset-backed securities are reported as security type = 12 (asset-backed security). (See Section III.D of the reporting instructions.)

BEARER BOND - Securities that are not registered in the name of their owner. The owner collects interest and dividends upon presentation of detachable coupons to a bank or fiduciary agent. Bearer bonds are reported as long-term debt securities, security type = 9 (bond or note, unstripped).

BEARER BOOK-ENTRY SECURITIES - See Bearer Global Notes/Certificates.

BEARER GLOBAL NOTES/CERTIFICATES - Bearer notes issued to one or more dealers that are represented by a single global note in bearer form and are intended to be the backing for registered securities issued by the central security depository. (See global certificates.) Bearer global notes/certificates held at a foreign-resident central securities depository are reportable. The entire amount of the issue minus any amount known to be owned by U.S. residents should be reported by the issuer as registered. If the identity of the beneficial foreign owner is not known, the country of the foreign central securities depository should be reported in Schedule 2, item 14 and the type of foreign holder should be reported as Other in Schedule 2, item 15.

CERTIFICATE OF DEPOSIT - See Negotiable Certificates of Deposit.

COLLATERALIZED MORTGAGE OBLIGATION (CMO) - Mortgage-backed securities, which give the purchaser a claim against the cash flows generated by the underlying mortgages. CMOs are usually characterized by a multi-tranche or multi-class serialized structure. Collateralized Mortgage Obligations (CMOs) should be reported as security type = 12 (asset-backed security).
COMMERCIAL PAPER - A promissory note, either unsecured or backed by assets such as loans or mortgages. They are usually sold at a discount and customarily having a fixed maturity of 270 days or less. All commercial paper should be reported as security type = 5 (commercial paper).

COMMINGLED ACCOUNT - An account in which the investment funds of individual clients are pooled, with each client owning portions of the pooled account. U.S. securities held in foreign-resident commingled accounts should be reported.

COMMON STOCK - A security representing equity ownership in a corporation. Common stock claims are subordinate to the claims of bondholders, preferred stockholders, and general creditors. Common stocks are reported as security type = 1 (common stock).

CONVERTIBLE BOND - A bond that can be exchanged at a set price for equity securities by the holder under certain conditions. Convertible debt securities issued by U.S.-residents that are owned by foreigners are reported as security type = 7 (convertible debt security).

CUSTODIAN - A bank or other entity that manages or administers the custody or safekeeping of stock certificates, debt securities, or other assets for institutional or private investors.

DEPOSITARY RECEIPTS - See American Depositary Receipts (ADR). Related types of depositary receipts, such as Global Depositary Receipts, that are backed by foreign securities are considered foreign securities and should not be reported.

DEPOSIT NOTES - A debt security issued by a bank, backed by federal deposit insurance up to $100,000 in principal and interest. They pay a fixed rate of interest and can be issued in book entry or certificate form. Deposit notes are reported as security type = 6 (negotiable CD).

DEPOSITORY - An entity that holds securities, either in certificated or uncertificated (dematerialized) form, to enable the transfer of ownership of securities. Depositories are not necessarily custodians, since they may be responsible only for registering and settling security transactions. The major U.S. depository is Depository Trust and Clearing Corporation (DTCC).
**DERIVATIVE CONTRACT** - Financial instrument or other contract with all three of the following characteristics:

1. (a) One or more underlyings; (b) one or more notional amounts; and (c) payment provisions. These terms determine the amount of the settlement or settlements, and, in some cases, whether or not a settlement is required.
2. Requires no initial investment, or an initial investment that is much smaller than would be required for other types of contracts that would be expected to have a similar response to changes in market factors.
3. The terms require or permit net settlement, it can readily be settled net by a means outside the contract, or it provides for delivery of an asset that puts the recipient in a position not substantially different from net settlement.

Derivative contracts are excluded from this report. Embedded derivatives that are not bifurcated from the host contract should be included in the value of the host contract. However, if the embedded derivative is bifurcated from the host contract, the derivative should be excluded from this report.

**DIRECT INVESTMENT** - A direct investment relationship exists when a U.S. company owns 10% or more of the voting equity securities of an incorporated foreign business (or an equivalent interest in an unincorporated foreign business, including a branch), or when a foreign company owns 10% or more of the voting equity securities of an incorporated U.S. business (or an equivalent interest in an unincorporated U.S. business, including a branch). Limited partners in a limited partnership do not have voting rights and therefore cannot have direct investment. (See Section III.K.) If a direct investment relationship exists, then in general, all financial positions between the firms are considered direct investment. If a direct investment relationship exists between non-banking entities, all securities held by the parent entity that were issued by the affiliate of the parent are considered direct investment. **However, if one of the entities is a banking or securities brokerage firm, the inter-company holdings are considered direct investment only if they provide permanent capital.** All holdings known to be direct investment should be excluded from this report.

**FOREIGN BANK** - A bank located in a foreign country and organized under the laws of that country.
FOREIGN-RESIDENT (FOREIGN, FOREIGNER) - Any individual, corporation, or other entity legally established outside of the United States, regardless of the actual center of economic activity of the entity. Thus, a corporation incorporated outside of the United States is a foreign resident even if it has no physical presence outside the United States. Foreigners/foreign residents include:
1. Individuals, including citizens of the United States, residing outside of the United States. (This includes individuals that have filed an IRS Form W-8, indicating that the individual is a nonresident alien. However, if an IRS Form is not available, the mailing address can be used to determine residency.)
2. Any corporation or other entity legally established outside of the United States, including branches, subsidiaries and other affiliates of U.S. entities located abroad.
3. Foreign governments and any subdivision, agency or instrumentality thereof, including all foreign official nonbanking institutions, even if located in the United States (e.g., an embassy, consulate, or other diplomatic establishment of a foreign country).
4. Official international or regional organizations or subordinate or affiliated agencies thereof, created by treaty or convention between sovereign states, even if located in the United States, including the International Bank for Reconstruction and Development (IBRD or World Bank), the International Monetary Fund (IMF), and the United Nations (UN). (See Appendix D for a list of international and regional organizations.)

FOREIGN SECURITIES - See Section III.C.

FOREIGN-RESIDENT CUSTODIAN - A custodian located outside the United States, including a foreign affiliate of a U.S.-resident custodian. Reporters should determine the location of a custodian according to the country in which the custodian is incorporated, or otherwise legally established, not according to the country of the custodian’s parent firm, and not according to the location of the custodian’s operations center.

FOREIGN-RESIDENT SUBCUSTODIAN - A foreign institution that holds in custody or safekeeps foreign securities for U.S.-resident custodians.

FUNDS - Pooled, separate and general investment accounts, including mutual funds (open and closed end), country funds, exchange traded funds, unit investment trusts, collective-investment trusts, hedge funds, and all other similarly pooled, commingled investment funds. Foreign ownership of shares of U.S.-resident funds are reported as security type = 3 (fund shares), regardless of the types of securities held by the fund. U.S. securities held for foreign-resident funds should be reported in the same manner as the holdings of other foreign residents. (See
Section III.E for the correct reporting of funds.)

**GLOBAL NOTES/CERTIFICATES** - Notes issued to one or more dealers that are represented by a single global note and are intended to be the backing for registered securities issued by the central security depository. Global notes/certificates held at a foreign-resident central securities depository are reportable. The entire amount of the issue minus any amount known to be owned by U.S. residents should be reported. If the identity of the beneficial foreign owner is not known, the country of the foreign central securities depository should be reported in Schedule 2, item 14 and the type of foreign holder should be reported as Other in Schedule 2, item 15.

**INDIVIDUALS** - Natural persons. On item 15 on Schedule 2, enter 2 if the foreign holder of the U.S. security is a natural person who does not use a foreign custodian. That is, the reporter is holding the security directly for the individual.

**INVESTMENT TRUST SHARE** - A share of a company bound by a trust deed issued in registered form, formed to invest in specific types of securities. Shares in an investment trust can usually be bought and sold only through the stock exchange. Sometimes referred to as a ‘closed-end’ fund. Foreign ownership of U.S. investment trust shares are reported as security type = 3 (fund shares), regardless of the types of securities held by the trust.

**LONG-TERM** - No contractual maturity or an original maturity of more than one year.

**MUNICIPAL BONDS** - Debt securities issued by state and local governments. Municipal bonds are reportable.

**NEGOTIABLE CERTIFICATE OF DEPOSIT** - Deposits evidence by a negotiable instrument, or a deposit in book entry form evidence by a receipt or similar acknowledgement issued by a bank, which provides on its face that the amount of such deposit is payable to the bearer or any specified person. Report as security type = 6 (negotiable CD).

**PREFERRED STOCK** - Equity securities with preferences to the common stock of the issuer. Preferred stock is usually entitled to dividends stated as a fixed dollar amount or as a percentage of par value before any dividend can be paid on the common stock and have priority over common shares in the event of liquidation. Preferred stock are reported as security type = 2 (preferred stock).
REPURCHASE AGREEMENT (REPO) - A transaction involving the sale of financial assets by one party to another, subject to an agreement for the seller to repurchase the assets at a specified price on a future date. A resale agreement (also known as a reverse repurchase agreement) is the same transaction viewed from the opposite perspective. Securities sold or purchased under repurchase (resale) agreements should be reported as if the transaction had not occurred. (See Section III. F of the reporting instructions.)

SECURITY - Any bill, note, bond, debenture, stock, negotiable money market instrument, or similar instrument that is commonly referred to as a security. See Section III.B for a list of reportable U.S. securities.

SETTLEMENT DATE - The date a security is delivered to the purchaser.

SETTLEMENT DATE ACCOUNTING - Under settlement date accounting the purchase and sale of assets are not recorded until the settlement date. Settlement date accounting should be used for purposes of this report.

SHORT-TERM - Original maturity of one year or less.

STATE OR LOCAL GOVERNMENT - The fifty states of the United States and the District of Columbia, Puerto Rico and the U.S. territories and possessions, and their political subdivisions, including counties, municipalities, school districts, irrigation districts, and drainage and sewer districts.

STIPS - An acronym for Separately Traded Registered Interest and Principal Securities. These securities are created by "stripping" coupon payments from securities and treating these coupons as separate securities from the principal. Stripped non-asset-backed securities are reported as security type = 10 (bond or note, stripped). Stripped asset-backed securities are reported as security type = 12 (asset-backed security).

STRUCTURED NOTE OR BOND - A financial instrument created specifically to meet the needs of one or a small number of investors. Some of the more common structures include: step-up bonds, index-amortizing notes, dual index notes, deleveraged bonds, range bonds, and inverse floaters. Structured notes or bonds are reportable.
**SUBSIDIARY** - A company in which another company (parent) owns 50 percent or more of the voting securities or an equivalent interest, or meets the consolidation requirement of U.S. GAAP.

**U.S. TREASURY SECURITIES** - Debt instruments that are direct obligations of the United States Treasury. These instruments include Treasury bills that have an original maturity of one year or less, Treasury notes that are intermediate-term (original maturity of 1-10 years) and Treasury bonds that have an original maturity of 10 years or more. U.S. Treasury securities are reportable.

**UNITED STATES** - The fifty (50) States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, and the following: American Samoa, Baker Island, Guam, Howland Island, Jarvis Island, Johnston Atoll, Kingman Reef, Midway Islands, Navassa Island, Palmyra Atoll, the U.S. Virgin Islands, and Wake Island.

**UNIT INVESTMENT TRUST** - A fixed portfolio of securities that are assembled by an underwriter sponsor and upon completion of the underwriting, are deposited with an independent trustee. Unit investment trusts have a definite termination date, usually between 6 months and 10 years. Unit investment trust securities are reported as security type = 3 (fund shares), regardless of the maturity date or the types of securities purchased. U.S. securities held for foreign-resident unit investment trusts should also be reported. (See Section III.E for the correct reporting of unit investment trusts.)

**U.S. RESIDENT** - Any individual, corporation, or other entity incorporated or otherwise legally established in the United States, including branches, subsidiaries and affiliates of foreign entities located in the United States. Corporations incorporated in the United States are considered to be U.S. residents even if they have a de minimus "physical presence" in the United States.

**U.S.-RESIDENT CUSTODIAN** - A custodian located in the United States, including a U.S.-resident affiliate of a foreign custodian.

**U.S.-RESIDENT ISSUER** - Any individual, corporation, or other entity located in the United States that issues securities in its own name, including U.S.-resident affiliates of foreign entities.

**ZERO-COUPON SECURITY** - Bonds that do not provide interest payments. Zero-coupon bonds usually have an issue price well below 100% of the face value with repayment on maturity at face value or par. The investors’ return is the difference between the issue price and redemption value.
Zero-coupon bonds and notes are reported as security type = 8 (zero-coupon bond or note).
APPENDIX X
Technical Information for Electronic Submission

Included in this appendix are instructions for how to properly prepare data in a file for submission. Your entity must retain a copy of the data for a period of 24 months from the date of the report’s submission.

I. Requirements for submitting data via IESUB

✓ Each file should contain data for only one Reporter Identification Number. If your entity will be reporting using multiple Reporter Identification Numbers, the data pertaining to each Reporter Identification Number must be reported in a separate file.

✓ Your entity must agree to the Terms and Use for Internet Reporting. This information can be found at: http://www.treas.gov/tic/forms.html/#benchmark

✓ Your entity must have a connection to the Internet that supports the World Wide Web (WWW). Your connection must not block or disable JavaScript.

✓ Your entity must have a web browser program (Microsoft Internet Explorer 5.5 or higher) that supports 128-bit Secure Sockets Layer (SSL) encryption. 128-bit encryption is used for all communication between you and the Federal Reserve System.

✓ All Schedule 1 information must be data entered before Schedule 2 data can be submitted.

✓ All files must be text files and have file names ending with .TXT (e.g., Schedule_2_Company_Name.TXT)

II. Requirements for submitting data via CD

✓ Each CD (media) should contain data for only one Reporter Identification Number. If your entity will be reporting using multiple Reporter Identification Numbers, the data pertaining to each Reporter Identification Number must be reported on separate media.

✓
✓ Each media for a particular Reporter Identification Number must be accompanied by a paper format Schedule 1.

✓ A dump or print out that includes at least the first ten detail records should accompany each media.

✓ Include a printout of the command string used to create the file if the file was created directly from the mainframe.

✓ Include any special instructions on how to unload the file.

✓ All Schedule 2 data must be provided in a standard DOS, ASCII flat file the following media:
  
  • Standard 700 MB CD(s)

Data must be submitted by August 31, 2009 to:

Federal Reserve Bank of New York  
Statistics Function, 4th Floor  
33 Liberty Street  
New York, NY 10045-0001

Should you have any questions concerning the instructions in this appendix, please contact the FRBNY automation staff at 212-720-1989 or 646-720-1989.
III. Electronic File Formats for both IESUB and CD Submissions

Reporters must use either a semicolon-delimited format or positional format. The layouts and examples of each follows.

### Semicolon Delimited Format

<table>
<thead>
<tr>
<th>Data Field and Instructions</th>
<th>Field Format</th>
<th>Schedule 2 Item Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Reporter Identification Number</td>
<td>N10 1</td>
<td>i, colon</td>
</tr>
<tr>
<td>Sequence Number (value from 1 - maximum number of records)</td>
<td>N7 2</td>
<td>i, colon</td>
</tr>
<tr>
<td>Reporting Unit</td>
<td>N3 3</td>
<td>i, colon</td>
</tr>
<tr>
<td>Name of Reporting Unit</td>
<td>A80 3a</td>
<td>i, colon</td>
</tr>
<tr>
<td>Custodian/Issuer Code</td>
<td>A1 4</td>
<td></td>
</tr>
<tr>
<td>Security ID</td>
<td>A12 5</td>
<td>i, colon</td>
</tr>
<tr>
<td>Security ID System (Refer to Schedule 2, Page 1)</td>
<td>N1 6</td>
<td>i, colon</td>
</tr>
<tr>
<td>Security ID System Description</td>
<td>A80 6a</td>
<td>i, colon</td>
</tr>
<tr>
<td>Security Description</td>
<td>A80 7</td>
<td>i, colon</td>
</tr>
<tr>
<td>Name of Issuer</td>
<td>A80 8</td>
<td></td>
</tr>
<tr>
<td>Type of Issuer (Refer to Schedule 2, Page 1)</td>
<td>N1 9</td>
<td>i, colon</td>
</tr>
<tr>
<td>Security Type (Refer to Schedule 2, Page 1)</td>
<td>N2 10</td>
<td>i, colon</td>
</tr>
<tr>
<td>Intentionally Left Blank</td>
<td>N1 11</td>
<td>i, colon</td>
</tr>
<tr>
<td>Term Indicator (Debt and ABS Only; Otherwise Leave Null)</td>
<td>N1 12</td>
<td>i, colon</td>
</tr>
<tr>
<td>Currency of Denomination (Refer to Appendix F)</td>
<td>A3 13</td>
<td>i, colon</td>
</tr>
<tr>
<td>Country of Foreign Holder (Refer to Appendix D and E)</td>
<td>N5 14</td>
<td>i, colon</td>
</tr>
<tr>
<td>Type of Foreign Holder (Refer to Appendix D and E)</td>
<td>N1 15</td>
<td>i, colon</td>
</tr>
<tr>
<td>USS Fair (Market) Value of Foreign Security Owned</td>
<td>N16 16</td>
<td>i, colon</td>
</tr>
<tr>
<td>Fair (Market) Value of Security Owned Denominated in the Currency of Issue</td>
<td>N25 16a</td>
<td></td>
</tr>
<tr>
<td>Intentionally Left Blank</td>
<td>N1 17</td>
<td>i, colon</td>
</tr>
<tr>
<td>Delimiter Sem</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>


<table>
<thead>
<tr>
<th><strong>Data Specifications</strong></th>
</tr>
</thead>
</table>

In addition to the instructions in the above table, follow these instructions:

- Semi-colons must be used as space-delimiters between fields.

- No special characters should be present in numeric fields (e.g. currency signs, commas, negative signs, slashes, etc.).

- All numeric fields should be unpacked, unsigned and with leading zeros.

- A format specification of N12 is numeric, with length of up to 12.

- A format specification of A80 is alphanumeric, with length of up to 80.

- Issue Date and Maturity Date fields should be in the format of MMDDYYYY with leading zero for months January-September and for the 1\textsuperscript{st} to the 9\textsuperscript{th} day of the month (e.g. 01092004 = January 9, 2004).
External Label (Affix to media, not to cover)

Company Name

Reporter Identification Number as assigned by the FRBNY

Data Processing Contact Name and Telephone Number

CD Identification Number (as identified in your records)

Total Number of CD: 1 of __

Creation Date

Sample Equity Record

004567890;1;1;3;tim & sarit's bank;2;Z;SYXWVUTSRQPO;2;common stock;tim & sarit's bank;4;01;;USD; 42005;2;999999999;999999999;; 900000; ; ; ; ; ; ;

1. Reporter Identification Number = 004567890 (MUST be exactly ten characters)
2. Sequence Number (can also be of format: 0000001)
3. Reporting Unit Code (internal code to identify the area within your organization that the data came from)
3a. Name of Reporting Unit (can be up to 80 characters in length)
4. Custodian / Issuer Code (1 = Custodian; 2 = Issuer)
5. Security ID (can be up to 12 characters in length)
6. Security ID System
6a. For Security ID System of "Other" (9) enter the name of the organization or system that assigned the Security ID
7. Security Description (can be up to 80 characters in length)
8. Name of Issuer (can be up to 80 characters in length)
9. Type of Issuer (use issuer types listed on Schedule 2, Page 1)
10. Security Type (use security types listed on Schedule 2, Page 1)
11. Intentionally Left Blank
12. Term Indicator (debt and ABS only; based on original maturity)
13. Currency of Denomination (use currency codes listed in Appendix F)
14. Country of Foreign Holder (use country codes listed in Appendix C)
15. Type of Foreign Holder (See Appendix D and E)
16. US$ Fair (Market) Value of Foreign Owned U.S. Security (can be up to 16 characters in length)
16a. Fair (Market) Value in Currency of Denomination of Foreign Owned U.S. Security (can be up to 25 characters in length)
17. Intentionally Left Blank
18. Number of Shares – rounded to the nearest share (can be up to 11 characters in length)
19. Face Value in Currency of Denomination – must be null for an equity record
20. Issue Date: MMDDYYYY format – must be null for an equity record
21. Maturity Date: MMDDYYYY format – must be null for an equity record
22. Original Face Value in Currency Denomination – must be null for an equity record
23. Remaining Principal Outstanding in Currency of Denomination – must be null for an equity record
24. Issue Date: MMDDYYYY format – must be null for an equity record
25. Maturity Date: MMDDYYYY format – must be null for an equity record

Note: Null fields are to be included. They are represented by the inclusion of a delimiter as if a value were present. e.g. - ;desc;
Sample Asset-Backed Long-term Debt Record

1. Reporter Identification Number = 0004567890 (MUST be exactly ten characters)
2. Sequence Number (can also be of format: 0000003)
3. Reporting Unit Code (internal code to identify the area within your organization that the data came from)
3a. Name of Reporting Unit (can be up to 80 characters in length)
4. Custodian / Issuer Code (1 = Custodian; 2 = Issuer)
5. Security ID (can be up to 12 characters in length)
6. Security ID System
6a. For Security ID System of "Other" (9) enter the name of the organization or system that assigned the Security ID
7. Security Description (can be up to 80 characters in length)
8. Name of Issuer (can be up to 80 characters in length)
9. Type of Issuer (use issuer types listed on Schedule 2, Page 1)
10. Security Type (use security types listed on Schedule 2, Page 1)
11. Intentionally Left Blank
12. Term Indicator (debt and ABS only; based on original maturity)
13. Currency of Denomination (use currency codes listed in Appendix F)
14. Country of Foreign Holder (use country codes listed in Appendix C)
15. Type of Foreign Holder (See Appendix D and E)
16. US$ Fair (Market) Value of Foreign Owned U.S. Security (can be up to 16 characters in length)
16a. Fair (Market) Value in Currency of Denomination of Foreign Owned U.S. Security (can be up to 25 characters in length)
17. Intentionally Left Blank
18. Number of Shares – must be null for any record other than equity
19. Face Value in Currency of Denomination – must be null for any record other than non-asset backed debt
20. Issue Date: MMDDYY format – must be null for any record other than non-asset backed debt
21. Maturity Date: MMDDYYY format – must be null for any record other than non-asset backed debt
22. Original Face Value in Currency Denomination (can be up to 25 characters in length)
23. Remaining Principal Outstanding in Currency of Denomination (can be up to 25 characters in length)
24. Issue Date: MMDDYYY format (e.g., 05081976 = May 8, 1976)
25. Maturity Date: MMDDYYY format (e.g., 05082006 = May 8, 2006)

Note: Null fields are to be included. They are represented by the inclusion of a delimiter as if a value were present. e.g. - ;desc;
**Sample Short-term/Long-term Debt Record (excluding Asset-Backed securities)**

<table>
<thead>
<tr>
<th>Field</th>
<th>Description</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Reporter Identification Number</td>
<td>0004567890 (MUST be exactly ten characters)</td>
</tr>
<tr>
<td>2</td>
<td>Sequence Number</td>
<td>00000002 (can also be of format: 00000000)</td>
</tr>
<tr>
<td>3</td>
<td>Reporting Unit Code (internal code to identify the area within your organization that the data came from)</td>
<td>Tim &amp; Sarit’s Bank</td>
</tr>
<tr>
<td>3a</td>
<td>Name of Reporting Unit (can be up to 80 characters in length)</td>
<td>ABCDEFGHIJKLMNOP</td>
</tr>
<tr>
<td>4</td>
<td>Custodian / Issuer Code (1 = Custodian; 2 = Issuer)</td>
<td>1</td>
</tr>
<tr>
<td>5</td>
<td>Security ID (can be up to 12 characters in length)</td>
<td>5 ¾% Fixed 20 yr. Note</td>
</tr>
<tr>
<td>6a</td>
<td>Security ID System (can be up to 80 characters in length)</td>
<td>Sarit County</td>
</tr>
<tr>
<td>6b</td>
<td>Security ID System of &quot;Other&quot; (9) enter the name of the organization or system that assigned the Security ID</td>
<td>Tim &amp; Sarit’s Bank</td>
</tr>
<tr>
<td>7</td>
<td>Security Description (can be up to 80 characters in length)</td>
<td>5 ¾% Fixed 20 yr. Note</td>
</tr>
<tr>
<td>8</td>
<td>Name of Issuer (can be up to 80 characters in length)</td>
<td>Sarit County</td>
</tr>
<tr>
<td>9</td>
<td>Type of Issuer (use issuer types listed on Schedule 2, Page 1)</td>
<td>09</td>
</tr>
<tr>
<td>10</td>
<td>Security Type (use security types listed on Schedule 2, Page 1)</td>
<td>USD</td>
</tr>
<tr>
<td>11</td>
<td>Intentionally Left Blank</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Term Indicator (debt and ABS only; based on original maturity)</td>
<td>07211982</td>
</tr>
<tr>
<td>13</td>
<td>Currency of Denomination (use currency codes listed in Appendix F)</td>
<td>USD</td>
</tr>
<tr>
<td>14</td>
<td>Country of Foreign Holder (use country codes listed in Appendix C)</td>
<td>Sarit County</td>
</tr>
<tr>
<td>15</td>
<td>Type of Foreign Holder (See Appendix D and E)</td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>US$ Fair (Market) Value of Foreign Owned U.S. Security (can be up to 16 characters in length)</td>
<td>5 ¾% Fixed 20 yr. Note</td>
</tr>
<tr>
<td>16a</td>
<td>Fair (Market) Value in Currency of Denomination of Foreign Owned U.S. Security (can be up to 25 characters in length)</td>
<td>07211982</td>
</tr>
<tr>
<td>17</td>
<td>Intentionally Left Blank</td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>Number of Shares – must be null for any record other than equity</td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>Face Value in Currency of Denomination (can be up to 25 characters in length)</td>
<td>07212005</td>
</tr>
<tr>
<td>20</td>
<td>Issue Date: MMDDYYYY format (e.g., 07211982 = July 21, 1982)</td>
<td>07211982</td>
</tr>
<tr>
<td>21</td>
<td>Maturity Date: MMDDYYYY format (e.g., 07212005 = July 21, 2005)</td>
<td>07212005</td>
</tr>
<tr>
<td>22</td>
<td>Original Face Value in Currency Denomination – must be null for any record other than asset-backed debt</td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Remaining Principal Outstanding in Currency of Denomination – must be null for any record other than asset-backed debt</td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>Issue Date: MMDDYYYY – must be null for any record other than asset-backed debt</td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>Maturity Date: MMDDYYYY – must be null for any record other than asset-backed debt</td>
<td></td>
</tr>
</tbody>
</table>

Note: Null fields are to be included. They are represented by the inclusion of a delimiter as if a value were present. e.g. - ;desc; ;
Positional Format (Record length = 555 characters)

<table>
<thead>
<tr>
<th>Position</th>
<th>Data Field and Instructions</th>
<th>Field Format</th>
<th>Schedule 2 Item</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-10</td>
<td>Reporter Identification Number</td>
<td>N10</td>
<td>1</td>
</tr>
<tr>
<td>11</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>12-18</td>
<td>Sequence Number (value from 1 - maximum number of records)</td>
<td>N7</td>
<td>2</td>
</tr>
<tr>
<td>19</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>20-22</td>
<td>Reporting Unit Code</td>
<td>N3</td>
<td>3</td>
</tr>
<tr>
<td>23</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>24-103</td>
<td>Name of Reporting Unit</td>
<td>A80</td>
<td>3a</td>
</tr>
<tr>
<td>104</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>105</td>
<td>Custodian/Issuer Code</td>
<td>A1</td>
<td>4</td>
</tr>
<tr>
<td>106</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>107-118</td>
<td>Security ID</td>
<td>A12</td>
<td>5</td>
</tr>
<tr>
<td>119</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>120</td>
<td>Security ID System (Refer to Schedule 2, Page 1)</td>
<td>N1</td>
<td>6</td>
</tr>
<tr>
<td>121</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>122-201</td>
<td>Security ID System Description (Null Unless Item 6 = 9 = Other)</td>
<td>A80 6a</td>
<td></td>
</tr>
<tr>
<td>202</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>203-282</td>
<td>Security Description</td>
<td>A80</td>
<td>7</td>
</tr>
<tr>
<td>283</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>284-363</td>
<td>Name of Issuer</td>
<td>A80</td>
<td>8</td>
</tr>
<tr>
<td>364</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>365</td>
<td>Type of Issuer (Refer to Schedule 2, Page 1)</td>
<td>N1</td>
<td>9</td>
</tr>
<tr>
<td>366</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>367-368</td>
<td>Security Type (Refer to Schedule 2, Page 1)</td>
<td>N2</td>
<td>10</td>
</tr>
<tr>
<td>369</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>370</td>
<td>Intentionally Left Blank</td>
<td>N1</td>
<td>11</td>
</tr>
<tr>
<td>371</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>372</td>
<td>Term Indicator (Debt and ABS Only; Otherwise Leave Null)</td>
<td>N1 12</td>
<td></td>
</tr>
<tr>
<td>373</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>374-376</td>
<td>Currency of Denomination (Refer to Appendix F)</td>
<td>A3</td>
<td>13</td>
</tr>
<tr>
<td>377</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>378-382</td>
<td>Country of Foreign Holder (Refer to Appendix C)</td>
<td>N5</td>
<td>14</td>
</tr>
<tr>
<td>383</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>384</td>
<td>Type of Foreign Holder (Refer to Appendix D and E)</td>
<td>N1</td>
<td>15</td>
</tr>
<tr>
<td>385</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>386-401</td>
<td>US$ Fair (Market) Value of Foreign Security Owned</td>
<td>N16</td>
<td>16</td>
</tr>
<tr>
<td>402</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>403-427</td>
<td>Fair (Market) Value of Security Owned Denominated in the Currency of Issue</td>
<td>N25</td>
<td>16a</td>
</tr>
<tr>
<td>428</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>429</td>
<td>Intentionally Left Blank</td>
<td>N1</td>
<td>17</td>
</tr>
<tr>
<td>430</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>431-441</td>
<td>Number of Shares (Equity only; Otherwise Null)</td>
<td>N11</td>
<td>18</td>
</tr>
<tr>
<td>442</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>443-467</td>
<td>Face Value in Currency of Denomination (non-ABS debt only; Otherwise Null)</td>
<td>N25 19</td>
<td></td>
</tr>
<tr>
<td>468</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
<tr>
<td>469-476</td>
<td>Issue Date (MMDDYYYY) (non-ABS debt only; Otherwise Null)</td>
<td>N8 20</td>
<td></td>
</tr>
<tr>
<td>477</td>
<td>Filler (space)</td>
<td>Space</td>
<td></td>
</tr>
</tbody>
</table>
555 character logical records blocked at 60 logical records or a block size of 33,300 bytes.

**Data Specifications**

In addition to the instructions in the above table, follow these instructions:

- No special characters should be present in numeric fields (e.g. currency signs, commas, negative signs, slashes, etc.).

- All numeric fields should be unpacked, unsigned, **right** justified with leading zeros, e.g., COBOL PIC 9(12). A format specification of N12 is numeric, with length of up to 12.

- Alphanumeric data should be **left** justified and blank filled, e.g., COBOL PIC X(50). A format specification of A80 is alphanumeric, with length of up to 80.

- Issue Date and Maturity Date fields should be in the format of MMDDYYYY with leading zero for months January-September and for the 1st to the 9th day of the month (e.g., 01092004 = January 9, 2004)

- The filler should be a space, e.g., in COBOL PIC X value spaces.

- Columns corresponding to null or not applicable items should be space filled.
External Label for CD submissions (affix to media, not to cover)

Company Name
Reporter Identification Number as assigned by the FRBNY
Data Processing Contact Name and Telephone Number
CD Identification Number (as identified in your records)
Total Number of CD: 1 of __
Creation Date
Below are sample equity, short-term/long-term debt (excluding asset-backed securities), and asset-backed debt records for the positional file layout. For purposes of illustration only, a darkened circle (•) represents the filler referred to on pages 70-71 between fields and an open diamond (◊) represents a field position where information can be entered.

**Equity:**

| 0004567890 •◊◊◊◊◊◊1•123•TIM&•SARIT’S•BANK\(\ldots\) |
| 2•ZYXWUTSRQPO •2•\(\ldots\)•COMMON\(\ldots\) |
| STOC\(\ldots\) •4•01•◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊• |
| \(\ldots\) •4000000000 •◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊• |

**Short-term/Long-term Debt Record (excluding Asset-Backed securities):**

| 0004567890 •◊◊◊◊◊◊2•123•TIM&•SARIT’S•BANK\(\ldots\) |
| 1••ABCDEFHIJKLMNOP •2•\(\ldots\)•50% Fixed\(\ldots\) |
| 200Yr Note\(\ldots\) •SARIT\(\ldots\) COUNTY\(\ldots\) |
| \(\ldots\) •3•09•◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊• |
| \(\ldots\) •1000000 •◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊• |

**Asset-Backed Long-term Debt Record:**

| 0004567890 •◊◊◊◊◊◊3•123•TIM&•SARIT’S•BANK\(\ldots\) |
| 1•DEFGHIJKLMNOP •2•\(\ldots\)•50% FNMA\(\ldots\) |
| 6% •\(\ldots\)• •FNMA\(\ldots\) |
| \(\ldots\) •2•12•◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊• |
| \(\ldots\) •600000 •◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊◊• |
APPENDIX
I
LIST OF FEDERAL AGENCIES AND FEDERALLY SPONSORED ENTERPRISES

A. U.S. FEDERAL GOVERNMENT AGENCIES and CORPORATIONS

Architect of the Capital
Commodity Credit Corporation (CCC)
Department of Agriculture, including former Rural Electrification Administration (REA), and former Farmers Housing Administration (FmHA).
Department of Defense and Military Services (e.g. Air Force)
Department of Housing and Urban Development (HUD)
Department of Interior
Export-Import Bank of the United States (Ex-Im Bank)
Federal Communication Commission (FCC)
Farm Credit System Financial Assistance Corporation (FCSFAC)
Federal Deposit Insurance Corporation (FDIC), including FSLIC Resolution Fund
Federal Housing Administration (FHA)
Financing Corporation (FICO)
General Services Administration (GSA)
Government National Mortgage Association (GNMA or Ginnie Mae)
Maritime Administration
National Archives and Records Administration (NARA)
National Consumer Cooperative Bank
Overseas Private Investment Corporation (OPIC)
Resolution Funding Corporation (REFCORP)
Rural Telephone Bank
Small Business Administration (SBA)
Tennessee Valley Authority (TVA), including lease obligations
Washington Metropolitan Area Transit Authority (WMATA)

B. FEDERALLY SPONSORED ENTERPRISES

Farm Credit System:
    Agricultural Credit Bank (ACB)
    Farm Credit Banks (FCB)
Federal Agricultural Mortgage Corporation (FAMC or Farmer Mac)
Federal Home Loan Banks (FHLB)
Federal Home Loan Mortgage Corporation (FHLMC or Freddie Mac)
Federal National Mortgage Association (FNMA or Fannie Mae)